

Analytical modeling of dynamical scalarization in an effective-action approach

Mohammed Khalil



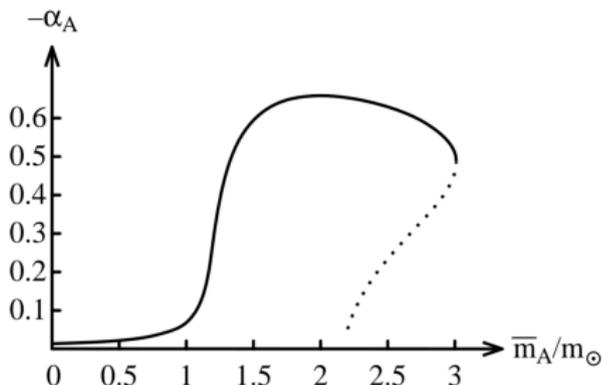
Based on

MK, R. Mendes, N. Ortiz, J. Steinhoff, Phys. Rev. D (2022)
MK, N. Sennett, J. Steinhoff, A. Buonanno, Phys. Rev. D (2019)

Connecting the dots workshop
AEI Potsdam
June 15, 2023

Spontaneous scalarization

- **Spontaneous scalarization**: a phase transition for a compact object in the strong-field due to a symmetry breaking of the scalar field. [Damour, Esposito-Farèse '93]



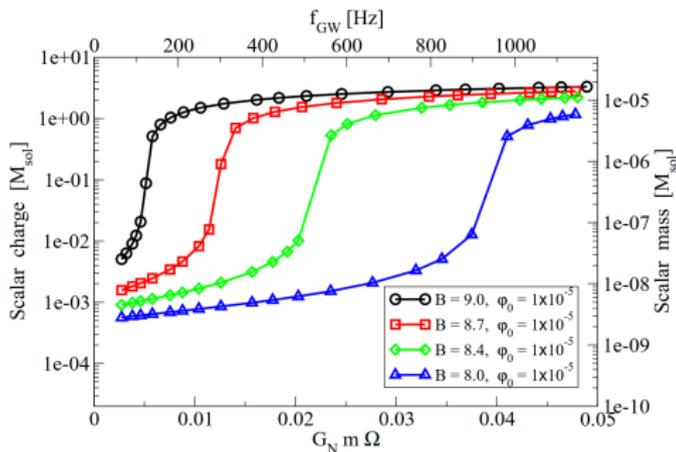
plot from [Damour, Esposito-Farèse arXiv:gr-qc/9602056]

Theories that exhibit spontaneous scalarization

- Spontaneous scalarization can occur in **scalar-tensor (ST) theories** for NSs above a certain **compactness**
 - massless [Damour, Esposito-Farèse '93]
 - massive [Chen, Suyama, Yokoyama 1508.01384]
[Ramazanoğlu, Pretorius 1601.07475]
 - Rotating NSs [Doneva, Yazadjiev, Stergioulas, Kokkotas 1309.0605]
- It can also occur in **scalar Gauss-Bonnet theories** for BHs and NSs
 - curvature induced [Doneva, Yazadjiev 1711.01187]
[Silva, Sakstein, Gualtieri, Sotiriou, Berti 1711.02080]
 - spin induced [Dima, Barausse, Franchini, Sotiriou 2006.03095]
[Herdeiro, Radu, Silva, Sotiriou, Yunes 2009.03904]
- **Generalized ST theories** (Horndeski action)
[Andreou, Franchini, Ventagli, Sotiriou 1904.06365]
- Theories with vector, spinor, or tensor fields can exhibit **vectorization**, **spinorization**, or **tensorization** [Ramazanoğlu 1706.01056, 1804.00594, 1901.10009]

Dynamical scalarization

- **Dynamical scalarization** occurs during the coalescence of a binary system, above a certain compactness or spin. [Barausse, Palenzuela, Ponce, Lehner 1212.5053]
[Shibata, Taniguchi, Okawa, Buonanno 1310.0627], [Elley, Silva, Witek, Yunes 2205.06240]



plot from [Taniguchi, Shibata, Buonanno 1410.0738]

Linear mode instability and nonlinear saturation

- Action for a ST theory

$$S = \int d^4x \frac{\sqrt{-g}}{16\pi} (R - 2\partial_\mu\varphi\partial^\mu\varphi - f[g, \varphi^2, \dots])$$

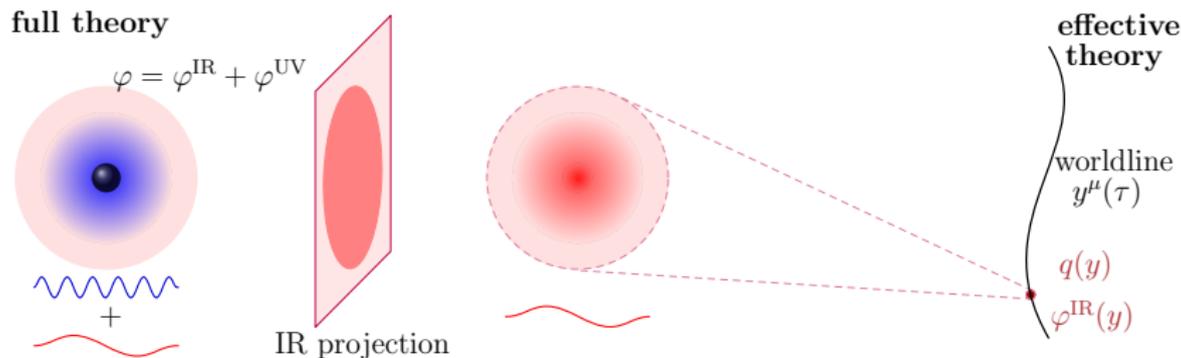
- Scalar field equation

$$\square\varphi = m_{\text{eff}}^2[g, \varphi^2, \dots]\varphi, \quad m_{\text{eff}}^2 \equiv \frac{1}{2} \frac{\partial f}{\partial \varphi^2}$$

- Dispersion relation $\omega^2 = \mathbf{k}^2 + m_{\text{eff}}^2$; if m_{eff}^2 is sufficiently negative, then ω^2 is also negative, leading to a **tachyonic instability**.
- Scalarized equilibrium configurations** exist if the mode instability saturates in the nonlinear regime. [Ramazanoğlu 1710.00863]

Effective action for the scalarization phase transition

[MK, Sennett, Steinhoff, Buonanno 1906.08161]



- Split the fields of the full theory into **small (UV)** and **long (IR)** wavelength regimes, e.g. $\varphi = \varphi^{\text{IR}} + \varphi^{\text{UV}}$, and integrate out the UV parts.
- **Dynamical variable $q(y)$** represents the monopolar scalar oscillation mode that becomes unstable at scalarization.
- Make an ansatz for an **effective action** respecting certain symmetries, in particular **scalar-inversion symmetry** ($\varphi^{\text{IR}} \rightarrow -\varphi^{\text{IR}}$, $q \rightarrow -q$), and including terms up to a given power in the cutoff between IR and UV scales, $\mathcal{O}(R/r)$.

Effective action close to the critical point

- Oscillator equation for the mode q driven by the IR field φ^{IR}

$$c_{\dot{q}^2} \ddot{q} + V'(q) = \varphi^{\text{IR}} + \varphi_0$$
$$V(q) = \frac{c^{(2)}}{2!} q^2 + \frac{c^{(4)}}{4!} q^4 + \dots$$

φ_0 is a possible cosmological value of the scalar field.

Effective action close to the critical point

- Oscillator equation for the mode q driven by the IR field φ^{IR}

$$c_{\dot{q}^2} \ddot{q} + V'(q) = \varphi^{\text{IR}} + \varphi_0$$
$$V(q) = \frac{c^{(2)}}{2!} q^2 + \frac{c^{(4)}}{4!} q^4 + \dots$$

φ_0 is a possible cosmological value of the scalar field.

- Effective action close to the critical point

$$S_{\text{CO}}^{\text{eff}} = \int d\tau \left[\frac{c_{\dot{q}^2}}{2} \dot{q}^2 + \varphi^{\text{IR}} q - m(q) + \dots \right]$$
$$m(q) \equiv m_{(0)} + \varphi_0 q + \underbrace{\frac{c^{(2)}}{2!} q^2 + \frac{c^{(4)}}{4!} q^4 + \dots}_{V(q)}$$

Effective action close to the critical point

- Oscillator equation for the mode q driven by the IR field φ^{IR}

$$c_{\dot{q}^2} \ddot{q} + V'(q) = \varphi^{\text{IR}} + \varphi_0$$
$$V(q) = \frac{c_{(2)}}{2!} q^2 + \frac{c_{(4)}}{4!} q^4 + \dots$$

φ_0 is a possible cosmological value of the scalar field.

- Effective action close to the critical point

$$S_{\text{CO}}^{\text{eff}} = \int d\tau \left[\frac{c_{\dot{q}^2}}{2} \dot{q}^2 + \varphi^{\text{IR}} q - m(q) + \dots \right]$$
$$m(q) \equiv m_{(0)} + \varphi_0 q + \underbrace{\frac{c_{(2)}}{2!} q^2 + \frac{c_{(4)}}{4!} q^4 + \dots}_{V(q)}$$

- Matching the asymptotic behavior of the IR and UV fields leads to

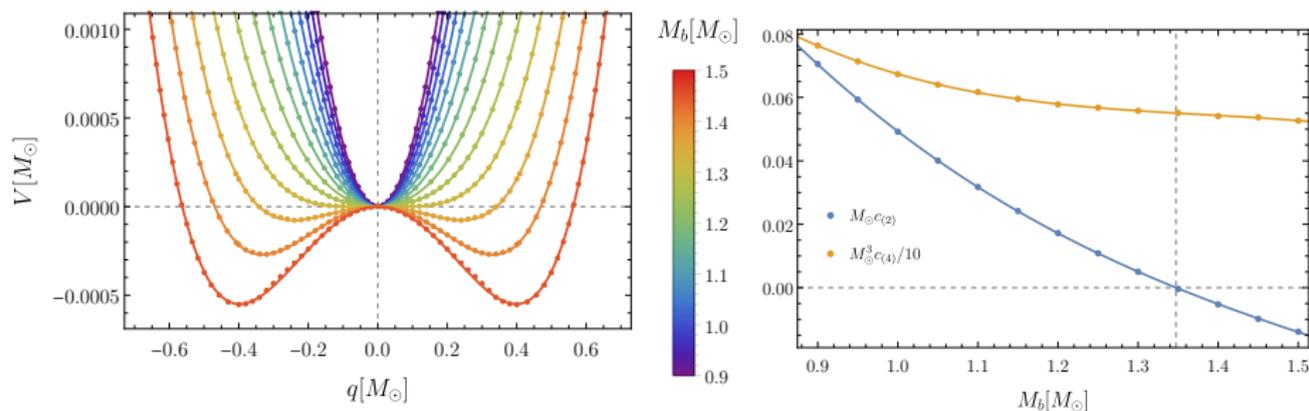
$$S_{\text{CO}} = - \int d\tau m_E(\varphi)$$
$$m_E(\varphi^{\text{IR}}) = m(q) - \varphi^{\text{IR}} q \quad \longrightarrow \quad m'(q) = \varphi^{\text{IR}}$$

Scalarized solutions in a specific scalar-tensor theory

- Action for massless scalar-tensor theory [Damour, Esposito-Farèse '93]

$$S_{\text{ST}} = \int d^4x \frac{\sqrt{-g}}{16\pi} (R - 2\partial_\mu\varphi\partial^\mu\varphi) + S_m[\mathcal{A}^2(\varphi)g_{\mu\nu}], \quad \mathcal{A}(\varphi) = \exp(\beta\varphi^2/2)$$

- Numerically solve the structure equations for an isolated object, computing a sequence of solutions with different values for φ_∞ at fixed baryon mass M_b .
- Determine $(c_{(2)}, c_{(4)})$ by fitting a polynomial to $m(q) = m_E(\varphi_\infty) + \varphi_\infty q$.



$V(q)$, $c_{(2)}$ and $c_{(4)}$ for DEF theory with $\beta = -5$.

[MK, Mendes, Ortiz, Steinhoff 2206.13233]

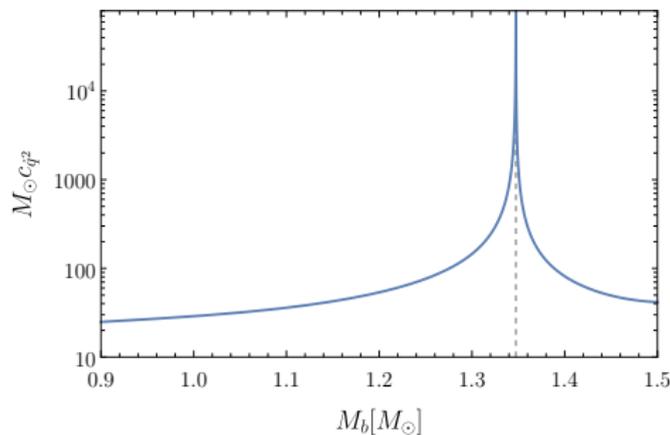
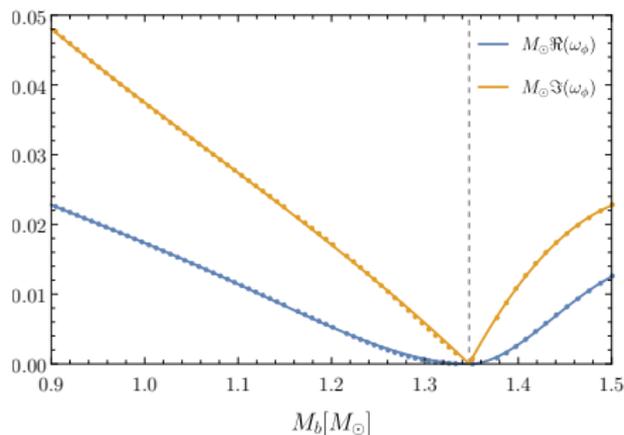
Matching the $c_{\dot{q}^2}$ coefficient

- Oscillator equation around the nonscalarized equilibrium $q = 0$, and taking $\varphi^{\text{IR}} + \varphi_0 \simeq 0$,

$$\ddot{q} \simeq -\frac{c(2)}{c_{\dot{q}^2}}q \equiv -\omega_0^2 q$$

- Identify ω_0^2 as the scalar quasi-normal mode frequency $|\omega_\varphi|^2$ [Mendes, Ortiz 1802.07847]

$$c_{\dot{q}^2} = \frac{c(2)}{|\omega_\varphi|^2}$$



Hamiltonian and equations of motion for a binary

- Hamiltonian for a binary at leading order in the center-of-mass frame

$$H = m_A + m_B + \frac{\mathbf{p}^2}{2\mu} + \frac{p_{q,A}^2}{2c_{\dot{q}^2,A}} + \frac{p_{q,B}^2}{2c_{\dot{q}^2,B}} - \frac{m_A m_B}{r} - \frac{q_A q_B}{r}$$

$p_{q,A/B}$ are canonical conjugate variables to $q_{A/B}$.

- Approximate stable solution for q , assuming identical bodies and $\dot{q} \approx 0$

$$q = \begin{cases} 0 & \text{for } r > 1/c_{(2)} \\ \pm \sqrt{\frac{6}{c_{(4)}}} \sqrt{\frac{1}{r} - c_{(2)}} & \text{for } r < 1/c_{(2)} \end{cases}$$

Hamiltonian and equations of motion for a binary

- Hamiltonian for a binary at leading order in the center-of-mass frame

$$H = m_A + m_B + \frac{\mathbf{p}^2}{2\mu} + \frac{p_{q,A}^2}{2c_{\dot{q}^2,A}} + \frac{p_{q,B}^2}{2c_{\dot{q}^2,B}} - \frac{m_A m_B}{r} - \frac{q_A q_B}{r}$$

$p_{q,A/B}$ are canonical conjugate variables to $q_{A/B}$.

- Approximate stable solution for q , assuming identical bodies and $\dot{q} \approx 0$

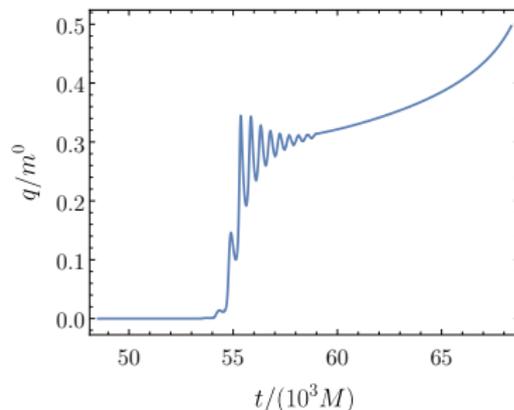
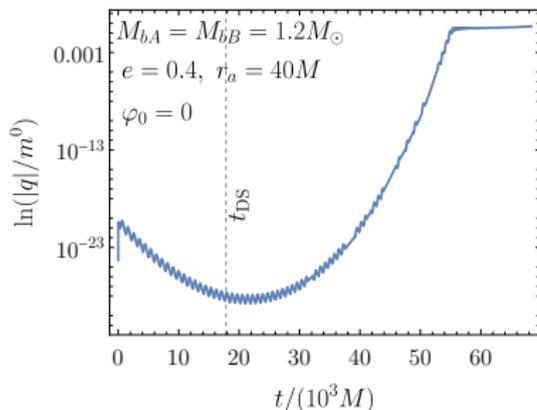
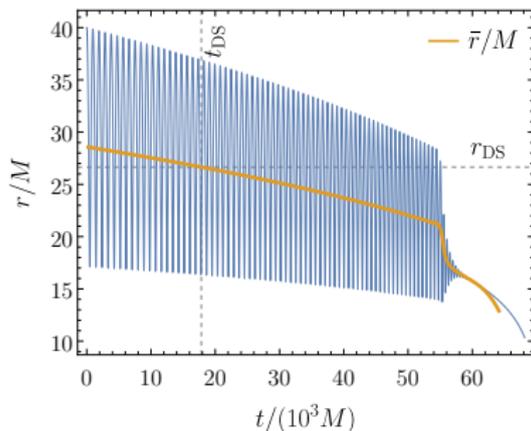
$$q = \begin{cases} 0 & \text{for } r > 1/c_{(2)} \\ \pm \sqrt{\frac{6}{c_{(4)}}} \sqrt{\frac{1}{r} - c_{(2)}} & \text{for } r < 1/c_{(2)} \end{cases}$$

- Equations of motion with leading order RR force

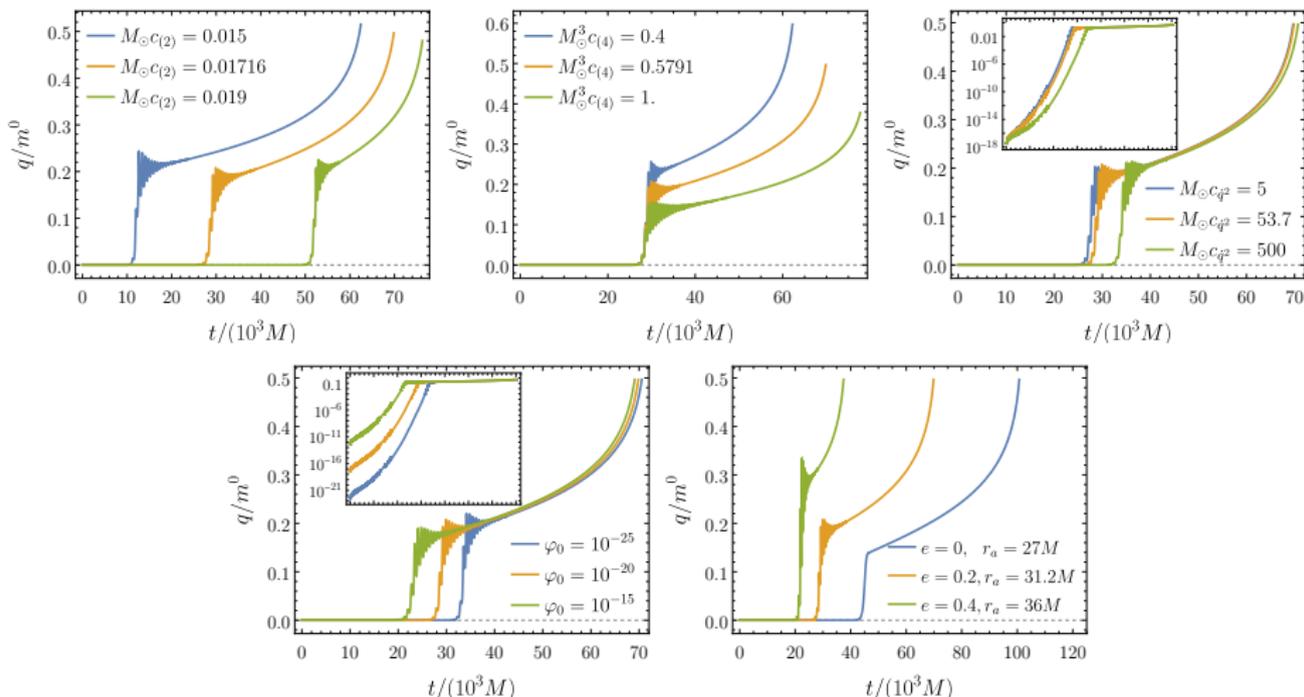
$$\begin{aligned} \dot{r} &= \frac{\partial H}{\partial p_r}, & \dot{p}_r &= -\frac{\partial H}{\partial r} + \mathcal{F}_r^{\text{quad}} + \mathcal{F}_r^{\text{dip}}, \\ \dot{\phi} &= \frac{\partial H}{\partial L}, & \dot{L} &= -\frac{\partial H}{\partial \phi} + \mathcal{F}_\phi^{\text{quad}} + \mathcal{F}_\phi^{\text{dip}}, \\ \dot{q}_A &= \frac{\partial H}{\partial p_{q,A}}, & \dot{p}_{q,A} &= -\frac{\partial H}{\partial q_A} - \dot{q}_A - \dot{q}_B, \end{aligned}$$

Dynamical scalarization of a binary in eccentric orbit

- Maximum separation allowing for dynamical scalarization $r_{\text{DS}} \equiv 1/c_{(2)}$
- Scalar charge grows exponentially after \bar{r} crosses r_{DS}
- q grows as $1/\sqrt{\bar{r}}$ after saturation

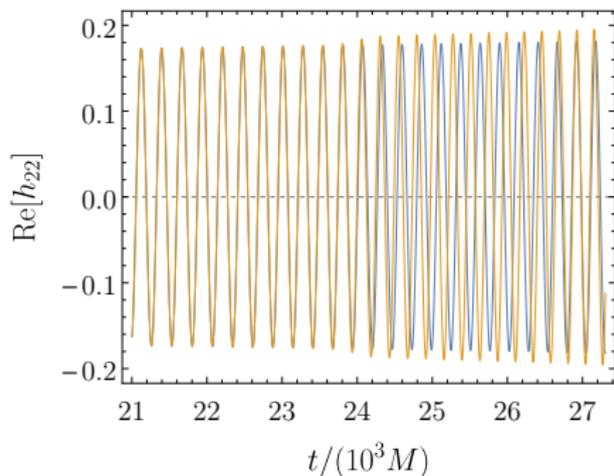
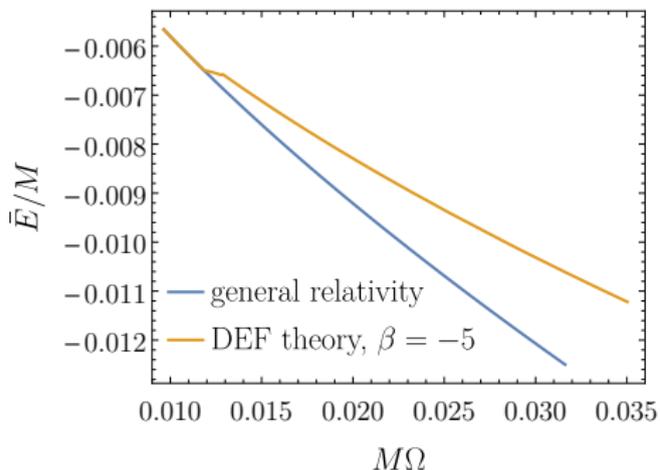


Effect of the effective-action parameters on the scalar charge



configurations with baryon mass $M_{bA} = M_{bB} = 1.2M_\odot$,
one parameter is varied in each panel

Binding energy and waveform around scalarization

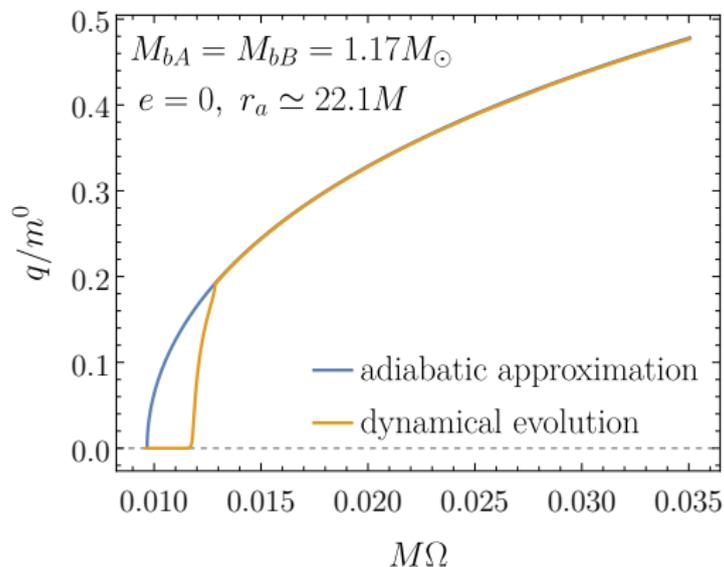


configuration with baryon mass $M_{bA} = M_{bB} = 1.17M_{\odot}$,
in quasicircular inspiral with initial separation $\sim 22M$

Conclusions

- Developed a simple model for **spontaneous and dynamical scalarization**, based on an effective-action approach.
- The model is **theory agnostic** and captures the **dynamical evolution** around the scalarization phase transition.
- This approach can be used in **theory-specific or theory-agnostic tests**, and used to develop **waveform models** for dynamical scalarization.

Quasi-stationary versus dynamical evolution



Binary in a highly-eccentric orbit

