

Asymptotic structure of electromagnetism and gravity in the asymptotically flat case

Marc Henneaux

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Asymptotic symmetries play a central role in holographic duality.

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Asymptotic symmetries play a central role in holographic duality.

This is familiar from the *AdS/CFT* context, where the asymptotic symmetry group (which is infinite-dimensional in the case of *AdS₃* gravity) is the group of rigid symmetries of the dual boundary theory.

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What is the situation in the asymptotically flat context?

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This is familiar from the AdS/CFT context, where the asymptotic symmetry group (which is infinite-dimensional in the case of AdS_3 gravity) is the group of rigid symmetries of the dual boundary theory.

What is the situation in the asymptotically flat context?

This will be the subject of this talk.

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The BMS (Bondi-Metzner-Sachs) group was shown long ago to be the group of asymptotic symmetries of gravity in the asymptotically flat context.

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It is infinite-dimensional and contains the Poincaré group as a subgroup,

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It is infinite-dimensional and contains the Poincaré group as a subgroup,

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This is another instance where the group of asymptotic symmetries is strictly larger than the group of exact symmetries of the most symmetric solution

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It is infinite-dimensional and contains the Poincaré group as a subgroup,

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This remarkable result was first received with embarrassment

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This remarkable result was first received with embarrassment
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This remarkable result was first received with embarrassment because the meaning of the enlargement was not understood. Furthermore, there was a tension between studies at null infinity and at spatial infinity :

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This remarkable result was first received with embarrassment because the meaning of the enlargement was not understood. Furthermore, there was a tension between studies at null infinity and at spatial infinity : while the BMS group naturally emerges at null infinity, previous analyses of asymptotically flat spaces at spatial infinity did not exhibit any sign of the BMS group.

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But if a transformation is a symmetry of a theory, it should be visible in any formulation !

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But if a transformation is a symmetry of a theory, it should be visible in any formulation !

So : which picture should be trusted ?

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First, remarkable work showed that the extra charges could be associated with the soft graviton theorems

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This state of affairs considerably changed recently.

First, remarkable work showed that the extra charges could be associated with the soft graviton theorems

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This gives “physical existence” to the BMS group.

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The enlargement is a gift!

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Second, the tension between null infinity and spatial infinity has been resolved

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This gives “physical existence” to the BMS group.

The enlargement is a gift!

Second, the tension between null infinity and spatial infinity has been resolved

through a reconsideration of the boundary conditions at spatial infinity.

Analyses at null infinity and at spatial infinity completely agree!

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The purpose of this talk is to explain how the infinite-dimensional BMS group appears at spatial infinity.

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The purpose of this talk is to explain how the infinite-dimensional BMS group appears at spatial infinity.

The analysis will be carried on spacelike hypersurfaces that are asymptotically flat hyperplanes, using Hamiltonian methods. It completely solves the difficulties mentioned above.

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(Work done in collaboration with Cédric Troessaert.)

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The analysis also provides an opportunity to develop general considerations on asymptotic symmetries.

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One bonus of investigating the asymptotic properties at spatial infinity

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The analysis also provides an opportunity to develop general considerations on asymptotic symmetries.

One bonus of investigating the asymptotic properties at spatial infinity

is that a sufficiently smooth null infinity, with the implicitly imposed peeling properties of the Weyl tensor, might not exist! (This is a very delicate dynamical question, see Friedrich 2018.)

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Does the BMS symmetry depends on the existence of a sufficiently smooth null infinity?

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A central role in the analysis will be played by the gravitational action

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which reads, in Hamiltonian form,

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A central role in the analysis will be played by the gravitational action

which reads, in Hamiltonian form,

$$S[g_{ij}, \pi^{ij}, N, N^i] = \int dt \left\{ \int d^3x \left(\pi^{ij} \partial_t g_{ij} - N^i \mathcal{H}_i^{grav} - N \mathcal{H}^{grav} \right) - B_\infty \right\}$$

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where B_∞ is a boundary term at infinity and where

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where B_∞ is a boundary term at infinity and where

$$\mathcal{H}^{\text{grav}} = -\sqrt{g}R + \frac{1}{\sqrt{g}}(\pi^{ij}\pi_{ij} - \frac{1}{2}\pi^2) \approx 0, \quad \mathcal{H}_i^{\text{grav}} = -2\nabla_j \pi_i^j \approx 0.$$

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(Dirac, Arnowitt-Deser-Misner, Regge-Teitelboim)

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We shall insist that the boundary conditions make the action :

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We shall insist that the boundary conditions make the action :

- finite

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We shall insist that the boundary conditions make the action :

- finite
- and invariant under all (asymptotic) Poincaré symmetries, which are thus canonical transformations.

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We shall insist that the boundary conditions make the action :

- finite
- and invariant under all (asymptotic) Poincaré symmetries, which are thus canonical transformations.

This puts strong and interesting restrictions.

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The same tension arises for electromagnetism, where the existing boundary conditions at spatial infinity do not yield the infinite-dimensional angle-dependent $u(1)$ symmetry present at null infinity.

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We shall focus on this more familiar and simpler case first.

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The action is in that case

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The action is in that case

$$S_H[A_i, \pi^i, A_t] = \int dt \left\{ \int d^3x \pi^i \partial_t A_i - \int d^3x \left(\frac{1}{2} \pi^i \pi_i + \frac{1}{4} F^{ij} F_{ij} + A_t \mathcal{G} \right) \right\}$$

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where $\mathcal{G} = -\partial_k \pi^k \approx 0$ (Gauss' law).

Maxwell theory in 4D

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The canonical variables are A_k and π^k (electric field).

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The canonical variables are A_k and π^k (electric field).

The standard boundary conditions are

$$A_k = \frac{a_k^{(1)}(\mathbf{n})}{r} + \frac{a_k^{(2)}(\mathbf{n})}{r^2} + o(r^{-2})$$

for the spatial components of the connexion and

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for the spatial components of the connexion and

$$\pi^k = \frac{p_{(1)}^k(\mathbf{n})}{r^2} + \frac{p_{(2)}^k(\mathbf{n})}{r^3} + o(r^{-3})$$

for the electric field.

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for the electric field.

These conditions imply

$$F_{ik} = \frac{f_{ik}^{(1)}(\mathbf{n})}{r^2} + \frac{f_{ik}^{(2)}(\mathbf{n})}{r^3} + o(r^{-3})$$

for the magnetic field.

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Problems :

(1) The kinetic term $\int d^3x \pi^k(x) dA_k(x)$ is in general (logarithmically) divergent.

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(1) The kinetic term $\int d^3x \pi^k(x) dA_k(x)$ is in general (logarithmically) divergent.

(2) The generator of boosts ($\sim \int d^3x r(E^2 + B^2)$) is in general (logarithmically) divergent.

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One needs to strengthen the boundary conditions.

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One imposes that the first coefficients in A_k and π^k have definite parity properties :

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$$a_k^{(1)}(-\mathbf{n}) = a_k^{(1)}(\mathbf{n}), \quad p_{(1)}^k(-\mathbf{n}) = -p_{(1)}^k(\mathbf{n}).$$

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$$a_k^{(1)}(-\mathbf{n}) = a_k^{(1)}(\mathbf{n}), \quad p_{(1)}^k(-\mathbf{n}) = -p_{(1)}^k(\mathbf{n}).$$

It follows that

$$f_{ik}^{(1)}(-\mathbf{n}) = -f_{ik}^{(1)}(\mathbf{n})$$

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These conditions eliminate the above divergences.

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These conditions are also invariant under Lorentz transformations

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These conditions are also invariant under Lorentz transformations

because the leading orders of Lorentz parameters are parity-odd (boosts and rotations).

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These parity conditions are thus fulfilled by the Liénard-Wiechert potentials (up to a gauge transformation).

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These parity conditions are thus fulfilled by the Liénard-Wiechert potentials (up to a gauge transformation).

They are also fulfilled by the electromagnetic field of a magnetic monopole (up to a gauge transformation).

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These parity conditions are thus fulfilled by the Liénard-Wiechert potentials (up to a gauge transformation).

They are also fulfilled by the electromagnetic field of a magnetic monopole (up to a gauge transformation).

From the point of view of containing the known solutions, these boundary conditions seem therefore acceptable.

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We now turn to the computation of the asymptotic symmetries.

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We now turn to the computation of the asymptotic symmetries.

The physical asymptotic symmetry algebra is the quotient of all the gauge transformations preserving the boundary conditions by the ideal of "trivial" asymptotic symmetries, which have zero charges for all configurations obeying the boundary conditions.

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We now turn to the computation of the asymptotic symmetries.

The physical asymptotic symmetry algebra is the quotient of all the gauge transformations preserving the boundary conditions by the ideal of “trivial” asymptotic symmetries, which have zero charges for all configurations obeying the boundary conditions.

We must therefore both determine the asymptotic gauge transformations that preserve the boundary conditions and compute the value of their generators.

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The boundary conditions are invariant under gauge transformations $\delta_\epsilon A_k = \partial_k \epsilon$,

where ϵ behaves asymptotically as

$$\epsilon = \bar{\epsilon}_0 + \lambda(\mathbf{n}) + o(r^0).$$

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$$\epsilon = \bar{\epsilon}_0 + \lambda(\mathbf{n}) + o(r^0).$$

Here ϵ_0 is a constant and $\lambda(\mathbf{n})$ is parity-odd,

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This seems to be an infinite-dimensional global symmetry with angle-dependent gauge transformations...

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This seems to be an infinite-dimensional global symmetry with angle-dependent gauge transformations...

... but is this the case ?

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The generator of gauge transformations is

$$G[\epsilon] = \int d^3x \epsilon(x) \left(-\pi^k{}_{,k} \right) + Q[\epsilon_\infty]$$

(Gauss' law + surface term) with

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(in polar coordinates ; barred quantities = leading orders).

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(in polar coordinates ; barred quantities = leading orders).

Since $\bar{\pi}^r$ is even, the charges $G[\epsilon]$ reduce on-shell to

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Since $\bar{\pi}^r$ is even, the charges $G[\epsilon]$ reduce on-shell to

$$G[\epsilon] \approx \oint d^2 x \bar{\epsilon}_{\text{even}} \bar{\pi}^r.$$

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(in polar coordinates ; barred quantities = leading orders).

Since $\bar{\pi}^r$ is even, the charges $G[\epsilon]$ reduce on-shell to

$$G[\epsilon] \approx \oint d^2 x \bar{\epsilon}_{\text{even}} \bar{\pi}^r.$$

The odd part ϵ_{odd} of the gauge parameter ϵ gives a zero contribution to the charges $G[\epsilon]$.

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It follows that the gauge transformations with ϵ_{odd} are proper gauge transformations that do not change the physical state of the system.

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It follows that the gauge transformations with ϵ_{odd} are proper gauge transformations that do not change the physical state of the system.

By contrast, the gauge transformations with ϵ_{even} are improper gauge transformations that do change the physical state of the system.

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Therefore, since $\bar{\epsilon} = \bar{\epsilon}_0 + \lambda_{\text{odd}}(\mathbf{n})$, all charges are in fact zero except the charge associated with $\bar{\epsilon}_0$,

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Therefore, since $\bar{\epsilon} = \bar{\epsilon}_0 + \lambda_{\text{odd}}(\mathbf{n})$, all charges are in fact zero except the charge associated with $\bar{\epsilon}_0$,

$$G[\lambda_{\text{odd}}(\mathbf{n})] = Q[\lambda_{\text{odd}}(\mathbf{n})] = \int_{S_\infty} \lambda_{\text{odd}}(\mathbf{n}) \pi^k dS_k = 0$$

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so that the quotient algebra is just $u(1)$,

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with generator

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$$G[\epsilon_0] = Q[\epsilon_0] = \epsilon_0 \int_{S_\infty} \pi^k dS_k.$$

The symmetry is one-dimensional.

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Is this due to a bad choice of boundary conditions?

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$$G[\epsilon_0] = Q[\epsilon_0] = \epsilon_0 \int_{S_\infty} \pi^k dS_k.$$

The symmetry is one-dimensional.

Is this due to a bad choice of boundary conditions?

Can one devise a “more liberal” strengthening of the original boundary conditions?

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The answer is affirmative...

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The answer is affirmative...
and surprisingly simple!

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The new boundary conditions that do not suffer from the above
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That is, one demands that the leading order of A_k be even up to
 $\partial_k \Phi$ for some Φ (of order 1)

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That is, one demands that the leading order of A_k be even up to $\partial_k \Phi$ for some Φ (of order 1)

$$a_k^{(1)}(-\mathbf{n}) = a_k^{(1)}(\mathbf{n}) + (\partial_k \Phi)^{(1)}(\mathbf{n}), \quad p_{(1)}^k(-\mathbf{n}) = -p_{(1)}^k(\mathbf{n}).$$

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and surprisingly simple!

The new boundary conditions that do not suffer from the above drawback are simply the above ones...

up to a gradient!

That is, one demands that the leading order of A_k be even up to $\partial_k \Phi$ for some Φ (of order 1)

$$a_k^{(1)}(-\mathbf{n}) = a_k^{(1)}(\mathbf{n}) + (\partial_k \Phi)^{(1)}(\mathbf{n}), \quad p_{(1)}^k(-\mathbf{n}) = -p_{(1)}^k(\mathbf{n}).$$

“Parity-twisted boundary conditions” with a twist given by a gauge transformation.

Twist is given by an improper gauge transformations

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One might think that one could set $\Phi = 0$ by a gauge transformation...

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It does change the physical state of the system

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One might think that one could set $\Phi = 0$ by a gauge transformation...

but this is generically an improper gauge transformation with a non-vanishing charge.

It does change the physical state of the system

and it would be wrong to take the quotient by such transformations.

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Is the symplectic form finite?

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Are these conditions acceptable?

Is the symplectic form finite?

Yes, provided one imposes that the constraint holds (off-shell) at infinity one order faster than anticipated,

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$$\partial_i \pi^i = O(r^{-4}).$$

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$$\int d^3 x \pi^i \dot{A}_i \sim \int d^3 x \pi^i \partial_i \dot{\Phi} + \text{Finite} \sim - \int d^3 x \partial_i \pi^i \dot{\Phi} + \text{Finite}$$

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Are these conditions acceptable?

Is the symplectic form finite?

Yes, provided one imposes that the constraint holds (off-shell) at infinity one order faster than anticipated,

$$\partial_i \pi^i = O(r^{-4}).$$

This obviously does not eliminate any solution (for which $\partial_i \pi^i = 0$) and

$$\int d^3 x \pi^i \dot{A}_i \sim \int d^3 x \pi^i \partial_i \dot{\Phi} + \text{Finite} \sim - \int d^3 x \partial_i \pi^i \dot{\Phi} + \text{Finite}$$

is indeed finite.

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It is clear that these boundary conditions are invariant under gauge transformations with both even and odd parts.

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We have thus succeeded in giving boundary conditions that have a non trivial infinite-dimensional symmetry.

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However, they suffer from two difficulties.

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First, we have only “half” of the asymptotic angle-dependent $u(1)$ transformations, namely those described by an even ϵ_{even} . Where is the other half?

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Second, as soon as one includes in the potential an odd component, the boosts cease to be canonical transformations.

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Second, as soon as one includes in the potential an odd component, the boosts cease to be canonical transformations.

These problems can be cured and one finds in the end complete agreement with the null infinity analysis (see MH + C. Troessaert).

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Similar considerations apply to gravity.

Similar considerations apply to gravity.

The canonical variables are g_{ij} and π^{ij} .

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The usually assumed fall-off is (in cartesian coordinates)

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The canonical variables are g_{ij} and π^{ij} .

The usually assumed fall-off is (in cartesian coordinates)

$$g_{ij} = \delta_{ij} + O(r^{-1}), \quad \pi^{ij} = O(r^{-2}).$$

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As in the case of electromagnetism, this generically leads to a logarithmic divergence in the symplectic structure (kinetic term)

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$$\int d^3x \pi^{ij} \dot{g}_{ij} \sim \ln r.$$

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One way to cure this would be to impose that the leading terms of the metric and its conjugate momentum have opposite parity properties under the antipodal map,

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One way to cure this would be to impose that the leading terms of the metric and its conjugate momentum have opposite parity properties under the antipodal map,

$$h_{ij} \equiv g_{ij} - \delta_{ij} = \frac{\bar{h}_{ij}(\mathbf{n}^k)}{r} + O\left(\frac{1}{r^2}\right), \quad \bar{h}_{ij}(-\mathbf{n}^k) = \bar{h}_{ij}(\mathbf{n}^k)$$

and

$$\pi^{ij} = \frac{\bar{\pi}^{ij}(\mathbf{n}^k)}{r^2} + O\left(\frac{1}{r^3}\right), \quad \bar{\pi}^{ij}(-\mathbf{n}^k) = -\bar{\pi}^{ij}(\mathbf{n}^k).$$

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But these strict parity conditions leave no room for the BMS group.

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But these strict parity conditions leave no room for the BMS group.

The asymptotic symmetry reduces to the Poincaré group.

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.

But these strict parity conditions leave no room for the BMS group.

The asymptotic symmetry reduces to the Poincaré group.

Some form of parity conditions are needed, however, in order to have a finite symplectic structure.

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One must allow a “parity-twisted component” in the leading orders of the asymptotic metric and momenta

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One must allow a “parity-twisted component” in the leading orders of the asymptotic metric and momenta

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This parity-twisted component takes the form of a gauge transformation (rewritten in Hamiltonian form).

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This parity-twisted component takes the form of a gauge transformation (rewritten in Hamiltonian form).

It is physically illegitimate to impose strict parity conditions as this requires improper gauge transformations,

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Do these relaxed parity conditions involving a twist lead to a consistent description

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in order to get a non trivial action for the BMS symmetry.

This parity-twisted component takes the form of a gauge transformation (rewritten in Hamiltonian form).

It is physically illegitimate to impose strict parity conditions as this requires improper gauge transformations, which one cannot use in gauge fixing.

Do these relaxed parity conditions involving a twist lead to a consistent description

(finite symplectic form, well-defined generators) ?

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The answer is affirmative and requires some work (even though the idea is elementary).

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The answer is affirmative and requires some work (even though the idea is elementary).

The procedure turns out to be very similar to what was found for electromagnetism.

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The answer is affirmative and requires some work (even though the idea is elementary).

The procedure turns out to be very similar to what was found for electromagnetism.

(1) The symplectic form is finite provided one requires that the constraints hold faster at infinity than dictated by the decrease of the canonical variables.

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The answer is affirmative and requires some work (even though the idea is elementary).

The procedure turns out to be very similar to what was found for electromagnetism.

(1) The symplectic form is finite provided one requires that the constraints hold faster at infinity than dictated by the decrease of the canonical variables.

(2) The (asymptotic) boosts also raise difficulties. These are solved by imposing $h_{rA} = 0$ to leading order.

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Once this is done, one finds that the asymptotic symmetries are given by hypersurface deformations that behave asymptotically as

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Once this is done, one finds that the asymptotic symmetries are given by hypersurface deformations that behave asymptotically as

$$\xi = b_i x^i + T(\mathbf{n}) + O(r^{-1})$$

$$\xi^i = b^i_j x^j + W_i(\mathbf{n}) + O(r^{-1}), \quad b_{ij} = -b_{ji}, \quad W_i(\mathbf{n}) = \partial_i(rW(\mathbf{n})).$$

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where T is even and W is odd.

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where T is even and W is odd.

The terms $b_i x^i$ and $b^i_j x^j$ describe respectively boosts and spatial rotations.

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where T is even and W is odd.

The terms $b_i x^i$ and $b^i_j x^j$ describe respectively boosts and spatial rotations.

The zero mode of T and the first spherical harmonic component of W describe translations.

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The higher spherical harmonics describe general supertranslations.

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The higher spherical harmonics describe general supertranslations.

In fact, the even function T and the odd function W combine to form a single arbitrary function of the angles, as in the null infinity description of the supertranslations.

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The symmetries are canonical transformations with generators

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The symmetries are canonical transformations with generators

$$P_\xi[g_{ij}, \pi^{ij}] = \int d^3x (\xi \mathcal{H} + \xi^i \mathcal{H}_i) + \mathcal{B}_\xi[g_{ij}, \pi^{ij}]$$

where $\mathcal{B}_\xi[g_{ij}, \pi^{ij}]$ is a surface term, the explicit form of which can be found in MH and C. Troessaert.

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where $\mathcal{B}_\xi[g_{ij}, \pi^{ij}]$ is a surface term, the explicit form of which can be found in MH and C. Troessaert.

The algebra of the generators can be easily verified to be the BMS algebra.

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There is complete agreement with the null infinity results (when the initial data leads to fields that are sufficiently smooth at null infinity).

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There is complete agreement with the null infinity results (when the initial data leads to fields that are sufficiently smooth at null infinity).

In particular, the “matching conditions” of Strominger, which involve the antipodal map, are in fact a consequence of the boundary conditions at spatial infinity

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There is complete agreement with the null infinity results (when the initial data leads to fields that are sufficiently smooth at null infinity).

In particular, the “matching conditions” of Strominger, which involve the antipodal map, are in fact a consequence of the boundary conditions at spatial infinity
(and leading singularities are absent).

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The asymptotic symmetries of electromagnetism and gravity in the asymptotically flat context are infinite-dimensional and contain the finite dimensional symmetries of the background as a subgroup.

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The asymptotic symmetries of electromagnetism and gravity in the asymptotically flat context are infinite-dimensional and contain the finite dimensional symmetries of the background as a subgroup.

This is clear whether one deals with null infinity or spatial infinity.

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This is clear whether one deals with null infinity or spatial infinity.

In order to reveal the action of the angle-dependent $u(1)$ symmetry (electromagnetism) or of the BMS group (gravity) at spatial infinity,

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In order to reveal the action of the angle-dependent $u(1)$ symmetry (electromagnetism) or of the BMS group (gravity) at spatial infinity,

one needs to include a twist in the parity conditions imposed in standard treatments.

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This twist has the form of an improper gauge transformation that changes the physical state and hence cannot be set to zero.

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one needs to include a twist in the parity conditions imposed in standard treatments.

This twist has the form of an improper gauge transformation that changes the physical state and hence cannot be set to zero.

Once it is included, one finds a perfect match with the results obtained at null infinity.

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THANK YOU!