# Waveform accuracy requirements for LISA

## LISA Waveform Working Group

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Alessandra Buonanno (AEI, Potsdam) Antoine Klein (U Birmingham) Sylvain Marsat (APC, Paris)

Albert Einstein Institute, Potsdam

2019-05-13

### **LISA Sources**



Terminology:

- Supermassive black holes binaries (SMBHBs)
- Stellar black hole binaries (SBHBs): multiband ?
- Extreme mass ratio inspirals (EMRIs)
- Galactic binaries (GBs)
- Other sources: cosmic strings, ...

### LISA sources and SNR: comparable-mass systems

- Very high SNR for SMBHBs
- Challenge for waveforms: keep systematic errors smaller than statistical errors



### Accumulation of SNR with time for SMBHB/IMBHB



Two different definitions of "signal duration":

- Looking back in time from merger, when is the signal negligible ? Here SNR=1
- Accumulating signal towards merger, when is the signal detected ? Here SNR=10

For SMBHBs, SNR accumulates shortly before merger (days)



### Waveform accuracy: goals

- **Detection:** templates enabling the detection of all signals (effectualness)
- **Characterisation:** waveform models enabling the recovery of source parameters without bias (faithfulness)

#### Explorations of data analysis (5 yrs?)

Case for approximate waveforms in injection/recovery

- LISA science case: how well are we going to detect and characterise sources ?
- Playground for data analysis techniques
- Explore tests of GR

Challenges :

- Need waveforms realistic enough
- Physical effects: merger, higher harmonics, spin, precession, eccentricity, astro. effects
- Computational efficiency required Interactions:
- EM observations: advance warning for EM partners ? Localisation of sources ?
- Instrument: impact of instrumental design choices on LISA science ?

#### Data analysis for the mission (10 yrs?)

- Provide "final" waveforms with low enough systematic errors
- Residuals low enough to enable highaccuracy tests of GR
- Computational costs: integration with data analysis pipeline, coexistence of slow/fast models for low-latency ?
- HPC resources in ~2035 ?
- Demonstrate feasibility of waveform developments in advance ?

LISA: Global fit sources and instrument

#### **Bayesian formalism**

• Matched-filtering overlap: 
$$(h_1|h_2) = 4 \operatorname{Re} \int df \, \frac{\tilde{h}_1(f)\tilde{h}_2^*(f)}{S_n(f)}$$

Likelihood for Gaussian, stationary noise, for independent channels: d = s(θ<sub>0</sub>) + n ln L(d|θ) = -∑ l (h(θ) - d|h(θ) - d) channels the posterior: p(θ|d) = L(d|θ)p<sub>0</sub>(θ) p(d)
Fisher matrix (high SNR limit): ln L ~ -l 2Δθ<sub>i</sub>(h,i|h,j)Δθ<sub>j</sub>

- h template
- $\theta$  parameters
- d data
- s signal
- $\theta_0$  signal params.
- n noise
- $S_n$  noise PSD
- $p_0( heta)$  prior p(d) evidence

#### Faithfulness / effectualness

 $\hat{h} = h / \sqrt{(h|h)}$ 

- Effectualness of a template bank:  $FF = \max_{h \in \text{bank}}(\hat{h}|\hat{s})$ Need to study full search, false-alarm rate
- Faithfulness:  $MM = \min_{\delta t, \delta \varphi, ...} (1 (\hat{h}|\hat{s}))$ Max/averaged for different sets of params. Depends on detector

#### Which waveform accuracy ?

systematic < statistical

- **Conservative** criterion for bias, from unfaithfulness:  $MM < \frac{D}{2SNR^2}$
- Golden standard : Bayesian parameter estimation injection/recovery studies (costly)
- Intermediate approaches ?

## Solving two-body problem in General Relativity



• Synergy between analytical and numerical relativity has been and will continue to be crucial.

### **Comparing EOBNR & IMRPhenom models: inferring parameters**

- Aligned/anti-aligned waveform models. Only dominant (2,2) mode.
- Differences for large mass ratios (> 4) and large spins (> 0.8).



[Note that only 7% of 200,000 points have matches < 97%.]

### Extending waveform model in all BBH parameter space

• Difficult to run NR simulations for large mass ratios (> 4) and large spins (> 0.8), with large number of GW cycles (> 50).



• For large mass ratios (> 4) combine PN & GSF results in EOB framework. (Damour 09; Barausse et al. 12, Le Tiec et al. 12, Bini et al. 12-16, Antonelli et al. in progress)

• Inclusion of GSF also important for EMRIs (LISA) and IMRIs (3G detectors).

## Assessing accuracy requirements for SBHBs

- Compare different PN truncation orders in the phase
- No eccentricity yet in this analysis



• The 3PN order is sufficient for all sources, 2PN sufficient for slowly-chirping

## **Open problems, questions** & challenges

- Current waveform models for SMBHs, IMBHs, SBHBs do not contain all relevant physics. Which physics is needed for exploratory studies and by 2034?
- How do we assess waveform accuracy? Can we use approximate criteria?
- Which waveform accuracy is required for SMBHs, SBHBs, EMRIs, IMRIs for exploratory studies and by 2034?
- Do accuracy requirements change for overlapping signals?
- Which accuracy is required for multi-band sources (LISA-3G)?

# **Open problems, questions & challenges**

- What are the best strategies for building fast waveforms for exploratory studies and by 2034? How much accuracy we can sacrifice for speed?
- What are efficient strategies for parameter estimation of SMBHs, SBHBs, EMRIs, IMRIs?
- Can we forecast accuracy of future waveform models, and computational resources?
- Do we need novel and efficient (analytical and/or numerical) methods to solve 2-body problem?
- Several waveform modeling and data analysis challenges of LISA are also shared by 3G detectors.

## Current waveform models for SMBHs/IMBHs

- PN theory (Taylor-models) [only inspiral stage; fast; freq & time domain]
- Numerical relativity (NR waveforms) [IMR stages; slow; limited in length and parameter space; time domain]
- Effective-one-body theory (EOBNRvN models, TEOBResumS model), builds on PN, GSF, PM and NR [IMR stages; not sufficiently fast; time domain]
- Phenomenological framework (IMRPhenom models), builds on EOB and NR [IMR stages; fast; frequency domain]
- EOBNR reduced order models (EOBNR\_ROM models) [IMR stages; fast; frequency domain]
- NR surrogate models, build on analytic IMR and NR [IMR stages; fast, but limited in length and parameter space; time domain]

## Systematics of current waveforms used in LIGO & Virgo

• Mock signal from NR simulation with parameters close to GW150914.

(Abbott et al. CQG 34 (2017) 104002)



• Overall, no evidence for systematic bias relative to the statistical error of original parameter recovery of GW150914.

### Systematics of current waveforms used in LIGO & Virgo (contd.)



### **Comparing EOBNR & IMRPhenom models: detection**

• Aligned/anti-aligned waveform models. Only dominant (2,2) mode.



[Note that only 2.1% of 100,000 points have matches < 97%.]

### PN versus PM expansion for conservative two-body dynamics



### **Comparison between 3PM and NR binding energies**

• 2-body Hamiltonian at 3PM order computed using scattering-amplitude methods



(Cheung et al. 18, Bern et al. 19)

(Antonelli, AB, Steinhoff, van de Meent & Vines 19)

#### New ideas, new methods to solve 2-body problem

- Post-Minkowskian results through modern scattering-amplitude calculations may help mpror g accurations
- For PM results to have "real" phenomenological impact (LIGO-Virgo-LISA-3G), we need conservative and dissipative results (i.e., also waveforms).

- Need of more efficient resummation of 2-body problem for entire parameter space.
- Could 2-body problem be obtained from 1-body problem exactly?

### Illustration of NR status: SXS catalog 2019



## "Temporary" solution? Waveforms combining NR codes

 Synergistic use of finite-difference (Einstein Toolkit, ET) & pseudo spectral (SpEC) NR codes.



(Hinder, Ossokine, Pfeiffer & AB 18)

• Is it worth to invest on this strategy?

### Binary's masses/distance spanned by 3G detectors



- 3G detectors will observe binary coalescences with SNR (~20) even at high redshift (z ~10-15), and with SNR > 100 at z < 5.</li>
- Demands on waveform accuracy are higher, modeling is more challenging.

### Binary's masses/distance spanned by 3G detectors



- 3G detectors will observe binary coalescences with SNR (~10) up to redshift (z ~12), and with SNR > 100 at z < 2.
- Demands on waveform accuracy are higher, modeling is more challenging.

Need to solve 2-body problem in larger region of parameter space with 3G

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{8\pi G}{c^4}T_{\mu\nu}$$

- GR is non-linear theory.
- Einstein's field equations can be solved:
- approximately, but analytically (fast way)
- "exactly", but numerically on supercomputers (slow way)



• Synergy between analytical and numerical relativity is crucial.



Several flavours of models have been proposed that can describe stellar black hole binary inspirals.

- Taylor F2-like eccentric models (e.g. Tanay+ 1602.03081), based on expanding PN phase and amplitudes for low eccentricities.
- Taylor T4-like eccentric models (e.g. Huerta+ 1609.05933, AK+ 1801.08542), based on PN expanding the phase evolution equation, and expanding amplitudes for low eccentricities.
- Moderately eccentric PN model (Moore & Yunes 1903.05203), based on solving for phase functions as a function of eccentricity.
- EOB eccentric model (Hinderer & Babak 1707.08426), based on a reparametrization of the equations of motion.
- EOB eccentric model (Cao & Han 1708.00166), based on treating eccentricity as a perturbation to the circular EOB evolution equations.

Since those signals lie in the high-frequency range of the LISA band, all those models need to be adapted to take into account the full LISA response.

#### **SBHB Waveform Accuracy**

Studies have looked at the convergence of the eccentricity expansion of the amplitude series, and compared circular to eccentric phasing.

![](_page_29_Figure_2.jpeg)

#### **SBHB** Waveform Accuracy

- Can we compare the convergence properties of different PN phasing flavours? Compare with EOB models? Are they all equivalent at 3PN order?
- What can we say about the convergence of PN eccentric phasing? What can we say about the convergence of the eccentricity expansion in the phase?
- What can we say about the PN requirements for multiband binaries? Can we achieve phase-locking between LISA and 3G detectors?

Currently, three types of waveforms are being used to study extreme mass ratio inspirals.

- Analytic Kludge (Barack & Cutler gr-qc/0310125), based on treating the orbit as a Newtonian one with parameters adiabatically evolving.
- Numerical Kludge (Glampedakis+ gr-qc/0205033, Gair & Glampedakis gr-qc/0510129), based on combining relativistic orbits with PN fluxes.
- Fast Self-Forced Inspirals (van de Meent & Warburton 1802.05281), based on reformulating self-force corrected equations of motion using near identity transforms.

See high mass ratios panel tomorrow for more details.

#### LISA sources and SNR: extreme mass ratio inspirals

![](_page_33_Figure_1.jpeg)

SNR=20 horizons for waveforms:

- Analytic Kludge, termination at Schwarzschild ISCO
- Analytic Kludge, termination at Kerr ISCO
- Teukolsky fluxes

[Babak&al 2017]

Rate and SNR for I2 different astrophysical models:

[Babak&al 2017]

![](_page_33_Figure_9.jpeg)

#### LISA sources and SNR: extreme mass ratio inspirals

Model	Mass function	MBH spin	Cusp erosion	$M$ - $\sigma$ relation	$N_{\rm p}$	$\begin{array}{c} \text{CO} \\ \text{mass} \ [M_{\odot}] \end{array}$	Total	EMRI rate $[yr^{-1}]$ Detected (AKK)	Detected (AKS)
M1	Barausse12	a98	yes	Gultekin09	10	10	1600	294	189
M2	Barausse12	a98	yes	KormendyHo13	10	10	1400	220	146
M3	Barausse12	a98	yes	GrahamScott13	10	10	2770	809	440
M4	Barausse12	a98	yes	Gultekin09	10	30	520(620)	260	221
M5	Gair10	a98	no	Gultekin09	10	10	140	47	15
M6	Barausse12	a98	no	Gultekin09	10	10	2080	479	261
M7	Barausse12	a98	yes	Gultekin09	0	10	15800	2712	1765
M8	Barausse12	a98	yes	Gultekin09	100	10	180	35	24
M9	Barausse12	aflat	yes	Gultekin09	10	10	1530	217	177
M10	Barausse12	a0	yes	Gultekin09	10	10	1520	188	188
M11	Gair10	a0	no	Gultekin09	100	10	13	1	1
M12	Barausse12	a98	no	Gultekin09	0	10	20000	4219	2279

#### [Babak&al 2017]

TABLE I. List of EMRI models considered in this work. Column 1 defines the label of each model. For each model we specify the MBH mass function (column 2), the MBH spin model (column 3), whether we consider the effect of cusp erosion following MBH binary mergers (column 4), the  $M-\sigma$  relation (column 5), the ratio of plunges to EMRIs (column 6), the mass of the COs (column 7); the total number of EMRIs occurring in a year up to z = 4.5 (column 8; for model M4 we also show the total rate per year up to z = 6.5); the detected EMRI rate per year, with AKK (column 9) and AKS (column 10) waveforms.

#### LISA sources and SNR: galactic binaries

![](_page_35_Figure_1.jpeg)

	6 mo	1 yr	2 yr	4 yr
# detected	6,590	11,142	18,281	29,059
2D mapped	104	$1,\!065$	4,138	6,304
3D mapped	19	129	$1,\!010$	2,373
$\mathcal{M}$ measured	233	737	$4,\!432$	10,770

#### Waveforms

- Quasi-monochromatic with  $f, \dot{f}, \ddot{f}$
- Astrophysical effects: mass transfer, perturbing third body

t(SNR): time to merger left when the signals has accumulated a given SNR

![](_page_36_Figure_2.jpeg)

- SNR=10 as the time to merger left when we can claim detection
- SNR=I assuming everything before that point can be neglected in PE

#### Length of LISA signals: for waveform models

t(SNR)/M: same length of signal, but seen in geometric units for waveforms models

![](_page_37_Figure_2.jpeg)

- SNR=10 as the time to merger left when we can claim detection
- SNR=I assuming everything before that point can be neglected in PE

#### LISA: simulated catalog for MBHB astrophysical models

![](_page_38_Figure_1.jpeg)

#### LISA: simulated catalog for MBHB astrophysical models

![](_page_39_Figure_1.jpeg)

#### The role of higher harmonics

$$h_{+} - ih_{\times} = \sum_{-2} Y_{\ell m}(\iota, \varphi) h_{\ell m}$$
$$_{-2} Y_{\ell m}(\iota, \varphi) \propto e^{im\varphi}$$

- Distance/inclination degeneracy broken
- Phase independently measured

![](_page_40_Figure_5.jpeg)

#### **Response Code**

- Pre-LDC FD LISA response C code, implementing [Marsat&Baker arXiv/1806.10734]
- Same as pyFDresponse in LDC, used here at leading order

#### Waveforms

- Non-spinning waveforms with higher modes: EOBNRv2HM
- Reduced order model

#### **Likelihood evaluation**

• Setting the noise realization to 0

Likelihood cost Single mode h22: I-3ms 5 modes hlm: I 5ms

• Amplitude/Phase sparse representation, inner products mode-by-mode

#### **Bayesian samplers**

- MultiNest (Bambi implementation) [Feroz&al 2009]
- Parallel-tempering MCMC with differential evolution [Baker]

### **SMBH** analysis setting

![](_page_42_Figure_1.jpeg)

Vary orientation

**Sources** 

lacksquare

•

HM

945

666

![](_page_43_Figure_0.jpeg)

![](_page_44_Figure_0.jpeg)

#### 

#### **Understanding degeneracies**

#### A projection effect for the marginal posterior Sky, 22-mode, ignoring LISA motion Sky, full likelihood 22-mode and pinning masses and time D3 D3 - injection 0.4 0 $\beta$ (rad) $\beta \, (\rm{rad})$ ptmcmc 22 03 0'2 multinest 22 analytic *`*06 De degeneracy 0.1 20 20 30 31 32 $\lambda$ (rad) $\lambda$ (rad) $10^{-17}$ The role of higher harmonics All (2, 2) $10^{-18}$ 21) $\underset{\mathrm{O}}{\mathrm{Charac. strain}} 10^{-10}$ $h_{+} - ih_{\times} = \sum_{-2} Y_{\ell m}(\iota, \varphi) h_{\ell m}$ 3 5.5**እስሳ**ሳ $_{-2}Y_{\ell m}(\iota,\varphi) \propto e^{im\varphi}$ Noise MWW Distance/inclination degeneracy broken $10^{-21}$ lacksquarePhase independently measured ullet $10^{-22}$ $10^{-3}$ $10^{-2}$ $10^{-4}$ $10^{-1}$

f (Hz)

![](_page_46_Figure_0.jpeg)

![](_page_47_Figure_0.jpeg)

### SMBH PE: accumulation of information with time

#### Method

• Represent a cut in time-to-merger by a cut in frequency, becomes inaccurate at merger

![](_page_48_Figure_3.jpeg)

### **SOBH** analysis setting

![](_page_49_Figure_1.jpeg)

• Plausible SOBH sources at low z

**Sources** 

- Masses  $M = 41 \ M_{\odot}, \ q = 1.05$  $M = 108 \ M_{\odot}, \ q = 1.3$ 
  - SNR 27
  - SNR 12

 Multinest:
  $2 - 5 \cdot 10^6$  

 PTMCMC:
  $60 \cdot 10^6$ 

![](_page_50_Figure_0.jpeg)

#### Mostly Gaussian posteriors, but...

![](_page_51_Figure_2.jpeg)

#### **Highlights and limitations**

- Mostly Gaussian posteriors, the two samplers agree very well
- Very accurate extraction of masses (but will be affected by spin)
- Good sky localization, even for these low SNRs

- We assumed we solved the search problem
- Narrow priors used in masses
- Low-SNR zero-noise analysis less reliable
- Single-source assumption ignores the population of other SOBHs
- Including spins will introduce degeneracies with the masses
- To be extended to narrow-band signals

#### The face-on / face-off limit

- Two branches: close to face-on or face-off
- Effective amplitude and phase degenerate in distance/inclination and in phase/polarization

 $\mathcal{A}(D_L,\iota) \sim \cos^4(\iota/2)/D_L$  $\xi(\varphi_L,\psi_L) = -\varphi_L - \psi_L$ 

For example for 
$$\sin^4 \frac{i}{2} \ll 1$$
  
 $s_a \simeq i\mathcal{A}e^{2i\xi} \left(F_a^+ + iF_a^\times\right),$   
 $s_e \simeq i\mathcal{A}e^{2i\xi} \left(F_e^+ + iF_e^\times\right),$ 

#### **Explicit solution for the degeneracy**

Reproduce  $s_a, s_e$  of injection if condition on sky position is met:

$$r = \frac{s_a^{\text{inj}}}{s_e^{\text{inj}}} = \frac{F_a^+ + iF_a^\times}{F_e^+ + iF_e^\times} (\lambda_L, \beta_L)$$

Then **line degeneracy** for both  $(\varphi_L, \psi_L)$  and  $(D_L, \iota)$ 

Solution : 
$$\rho = \sqrt{\left|\frac{1+ir}{1-ir}\right|}$$
  
 $\sin \beta_L^* = \frac{\rho - 1}{\rho + 1}$   
 $\lambda_L^* = -\frac{\pi}{12} + \frac{1}{4} \operatorname{Arg} \frac{1+ir}{1-ir} + \frac{k\pi}{2}$ 

+ approximate symmetry  $(\lambda_L, \beta_L) \leftrightarrow (\varphi_L, \iota)$ 

#### Exploring the analytic simplified extrinsic likelihood

![](_page_53_Figure_1.jpeg)