

# Towards the continuum limit in spin foams: renormalization flow in background independent models

**Bianca Dittrich**

(Perimeter Institute and Albert Einstein Institute)

[BD, 1205.6127, New J. Phys. '12]

[Bahr, BD, Hellmann, Kaminski, 1208.3388]

**[BD, Laurie v. Massenbach, Martin-Benito, w.i.p. ]**

*QG in Paris, March 2013*

# Overview

- A. Spin (holonomy) foams
- B. The method: tensor network renormalization
- C. Coarse graining S-3 spin nets: first results!
- D. Summary and wishful thinking

thanks to collaborations and discussions with and help from:

Benjamin Bahr, Valentin Bonzom, Frank Eckert, Frank Hellmann, Wojciech Kaminski, Felix Laurie v. Massenbach, Etera Livine, Mercedes Martin-Benito, Arnau Riera, Erik Schnetter, Sebastian Steinhaus, Guifre Vidal, ...

# What are spin foams?

- path integral approach related to loop quantum gravity

View point here:

Spin foams are a class of (quantum statistical) models, generalizing **lattice** gauge theories.

Nice result: Semi-classical limit with large building blocks reproduces Regge action.

[Barrett and many others for different models] [Recently: issues pointed out by Hellmann, Kaminski '12]

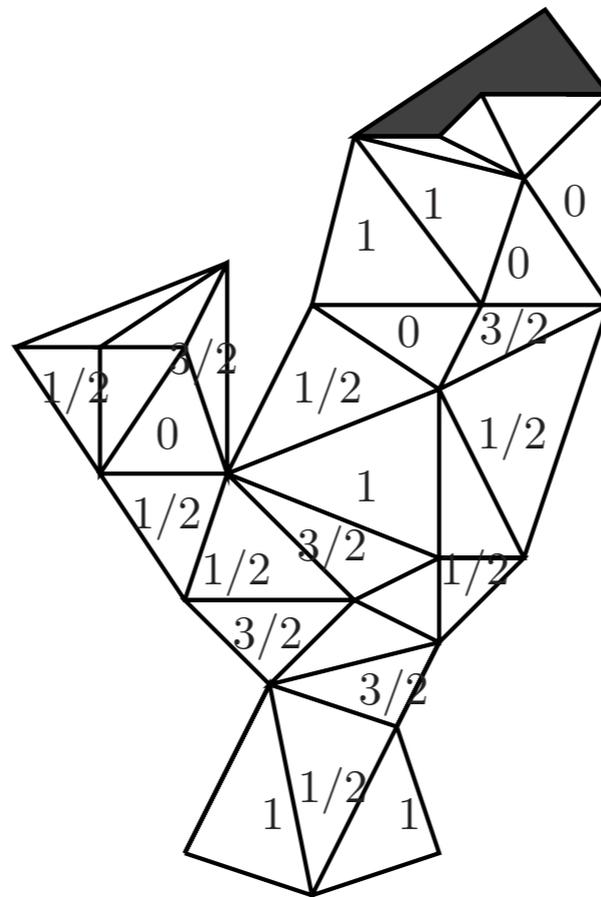
Open question: Continuum limit?

Do we obtain smooth 4D manifold on large scales?

Do we regain diffeomorphism symmetry (Lorentz invariance)?

# What are spin foams?

- path integral approach to quantum gravity:
  - sum over geometric data associated to triangulations
  - first order action: geometry encoded in connection (group) variables
  - dual variables: **spin** (SU(2) representation) labels
  - models can be understood as generalized lattice gauge theory



# 3d and 4d actions

geom  $\sim$  metric  $\sim$  (n-bein  $e$ , connection  $A$ )

Plebanski action in 4d

$$S_{4d} = \int B \wedge F + \phi B \wedge B, \quad B \sim \star(e \wedge e)$$

(Lie algebra valued) d-2 form
curvature of A
Lagrange multiplier
simplicity constraints

first order action in 3d

$$S_{3d} = \int B \wedge F, \quad B \sim e$$

BF theory

$$S_{BF} = \int B \wedge F, \quad F = 0, \quad D_A B = 0$$

topological field theory

Yang Mills in first order

$$S_{YM} = \int B \wedge F + g^2 B \wedge \star B$$

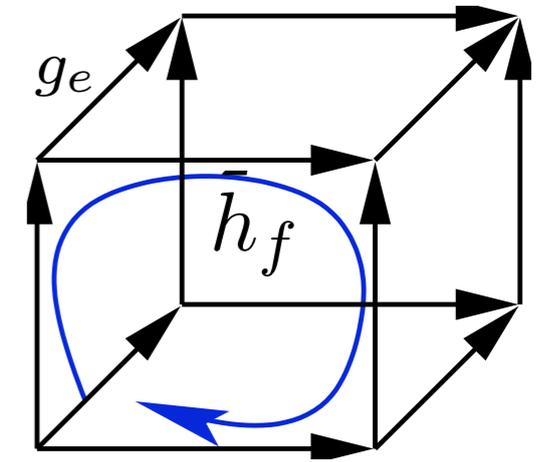
↑  
uses background metric

# Lattice gauge theory

lattice gauge theory:

$$Z \sim \sum_{g_e} \prod_f w_f(h_f)$$

$g_e$ : G-group variables at edges  
 $w_f(h_f)$ : face weight (class function)  
 $h_f$ : face holonomy



standard lattice gauge theory:  
dynamics encoded in face weights

$$w_f(h) = \begin{cases} \delta_G(h) & \leftarrow \text{zero coupling BF (topological) theory} \\ \exp(-S_{YM}(h)) & \leftarrow \text{needs lattice metric for construction (for instance heat kernel action)} \\ \text{const.} & \leftarrow \text{strong coupling limit (degenerate phase / no geometry vacuum)} \end{cases}$$

zero coupling  
BF (topological) theory

needs lattice metric for  
construction (for instance  
heat kernel action)

strong coupling limit  
(degenerate phase / no  
geometry vacuum)

We have to introduce another principle to allow for non-flat face holonomies.

# Spin foams as generalized lattice gauge theory

[Bahr, BD, Hellmann, Kaminski '12]

$$\begin{array}{l}
 Z = \sum_{g_e} \prod_f \omega_f(g_e g_{e'} \dots) \quad \xrightarrow{g_e} \\
 \Downarrow \text{double variables} \\
 Z = \sum_{g_{ve}} \prod_f \omega_f(g_{ve} g_{ev'} g_{v'e'} g_{e'v''} \dots) \quad \xrightarrow{g_{ve} \quad g_{ev}} \\
 \Downarrow \text{insertions} \\
 Z' = \sum_{g_{ve}} \sum_{h_{ef}} \prod_{(ef)} E(h_{ef}) \prod_f \omega_f(g_{ve} h_{ef} g_{ev'} g_{v'e'} h_{e'f} g_{e'v''} \dots) \quad \xrightarrow{g_{ve} \quad h_{ef} \quad g_{ev}}
 \end{array}$$

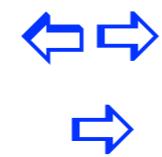
Allows face holonomy  $h_f = g_{ve} g_{ev'} g_{v'e'} g_{e'v''} \dots$  to be non-flat even for  $\omega_f = \delta_G$ .

Choice of E-function determines the model (dynamics). Implements simplicity constraints.

Almost all current spin foam models can be expressed in this way.

# Dimensional reduction

4D lattice gauge theories  
(gauge symmetry)



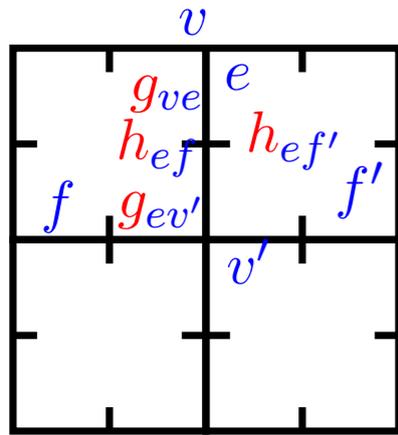
2D Ising like models  
(global symmetry)

# Spin foams

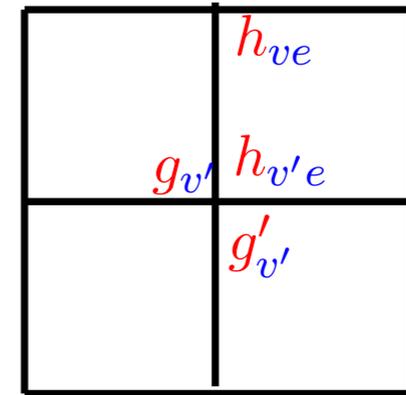


# Spin nets

[BD, Eckert, Martin-Benito '11]



generalizes correspondence between  
4D lattice gauge theories and  
2D Ising like models



associate

- to every edge  $e$   
two group elements  $g_{ve}, g_{ev'}$
- to every edge–face pair  $ef$   
a group element  $h_{ef}$

associate

- to every vertex  $v$   
two group elements  $g_v, g'_v$
- to every vertex–edge pair  $ve$   
a group element  $h_{ve}$

$$Z = \sum_{h_{ef}} \sum_{g_{ev}} \prod_{(ef)} E(h_{ef}) \prod_f \delta(g_{ve} h_{ef} g_{ev'} \dots)$$



simplicity constraints

$$Z = \sum_{h_{ve}} \sum_{g_{ev}} \prod_{(ve)} E(h_{ve}) \prod_e \delta(g_v h_{ve} g'_v g_{v'} h_{v'e} g'_{v'})$$



simplicity constraints

# Analogue model building

to make numerical simulations feasible

[Bahr, BD, Ryan '11, BD, Eckert, Martin-Benito '11]

Spin foams = generalized lattice gauge theories

dimensional reduction  
hope: statistical properties similar

Spin nets = generalized Ising like models

replace rotation group with  
finite group

future:  
with quantum groups

Spin net state sum is now a finite sum.

Despite simplifications we can still aim to understand influence of simplicity constraints on dynamics.

# Main question

- lattice gauge theory phases:

weak coupling (deconfining)



'topological' phase  
(perturbation around BF)



strong coupling (confining)



degenerate geometry phase

- spin foams: generalization of standard lattice gauge theories:  
higher dimensional phase space parametrized by (simplicity constraint function) E-function

Are there additional phases in spin foams? Phase transitions?

- hoping that 4D lattice gauge theory - 2D edge model correspondence generalizes:

Are there additional phases in spin nets? Phase transitions?

How far can we go with simulation?

# Remarks

- Spin foams are NOT Wick rotated: **real time path integral**
  - a priori cannot use (standard) Monte Carlo simulations
  - is there a conformal factor problem?
- choice of **Euclidean or Lorentzian signature metrics** encoded in symmetry (rotation) group
- but: path integral does in general not lead to unitary transfer operators
- rather: projection operators (on simplicity constraints and on diffeo and Hamiltonian constraints)
- (surprising) fact:
  - for some models (Barrett-Crane) and in some representations amplitudes are nevertheless real or even positive
  - Monte Carlo simulations (mostly test face weights) [Baez, Christensen, Khavkine et al '00s] **revealed fast convergence to either confining or deconfining phase**

**We are looking for a method applicable for general models.**

# Real space coarse graining

- gives effective dynamics at different scales (needs to be defined in quantum gravity)
- problem: real space renormalization methods have been very restricted [Migdal-Kadanoff 70's]
  - proliferation of non-local couplings
  - truncations not under control
- in the last years new developments in condensed matter/ quantum information
  - density matrix renormalization [White '92,...]
  - matrix product states [Cirac,Verstraete,... 04+ ]
  - tensor network renormalization** [ Levin, Nave '06, Gu,Wen '09 ]
  - entanglement renormalization [Vidal 07+]

# Coarse graining with tensor network methods

[Levin & Nave, Gu & Wen, Vidal ...'06+]

[BD, Eckert, Martin-Benito, New.J. Phys. '11]

[BD, Laurie v. Massenbach, Martin-Benito, w.i.p.]

# Coarse graining state sums: splitting the sum

$$Z = \sum_{\psi} a(\psi) = \sum_{\Psi} \sum_{\psi: B(\psi)=\Psi} a(\psi) = \sum_{\Psi} a'(\Psi)$$

amplitude function

effective amplitude includes sum over finer field variables

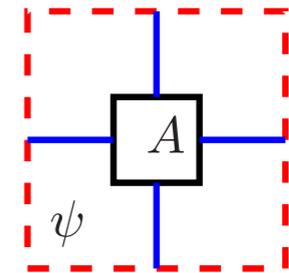
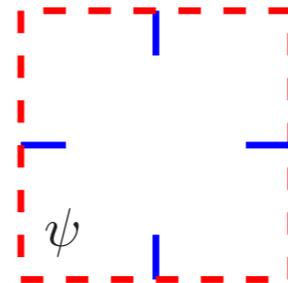
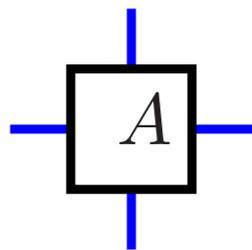
coarse field variables      blocking of finer field variables

- **How to block** finer variables into coarser ones?
- What is the [finite dimensional] **space of models**, renormalization flow takes place in?
- **How to truncate** the flow back to this space?
- How to **deal with non-local couplings**?
- How to **coarse grain the boundary**?  
Should we require triangulation independence for the boundary?
- **tensor network renormalization** provides answers

# State sums with (generalized) boundaries

State sum models associate amplitudes to space time regions with boundary (data)

[Oeckl 03]



$$A(x_1, x_2, x_3, x_4) = \sum_{x_{\text{bulk}}} a(x_1, x_2, x_3, x_4, x_{\text{bulk}})$$

where  $x$  are boundary data

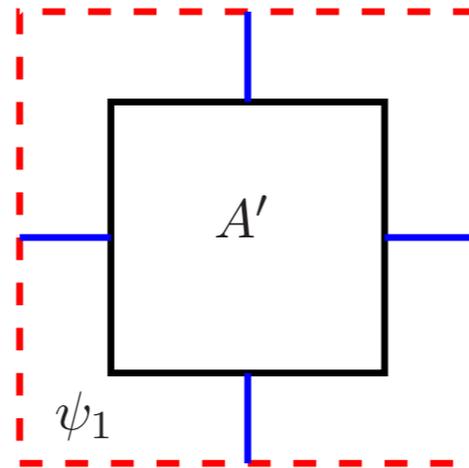
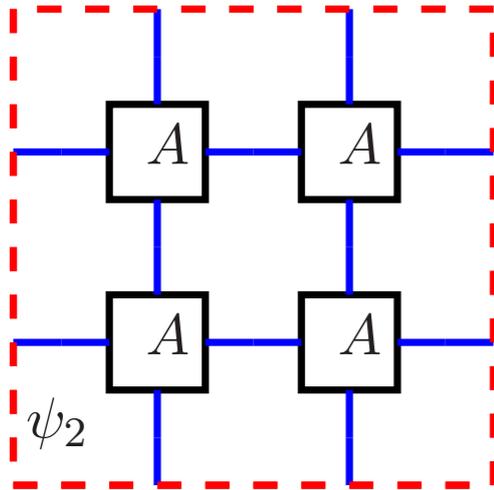
$\psi(x_1, x_2, x_3, x_4)$   
is a boundary wave function

$A$  is an (anti-)linear functional on bdry Hilbert space  $\mathcal{H}_1$ ,

$$A(\psi) = \sum_{x_i} A(x_i) \bar{\psi}(x_i)$$

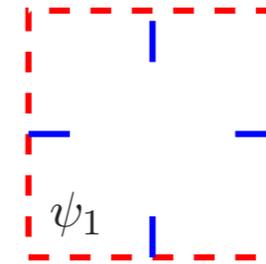
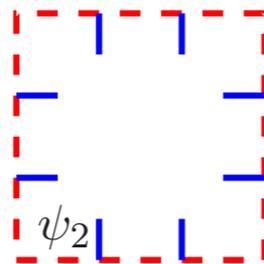
defines (transition) amplitudes

# Coarse graining space time regions



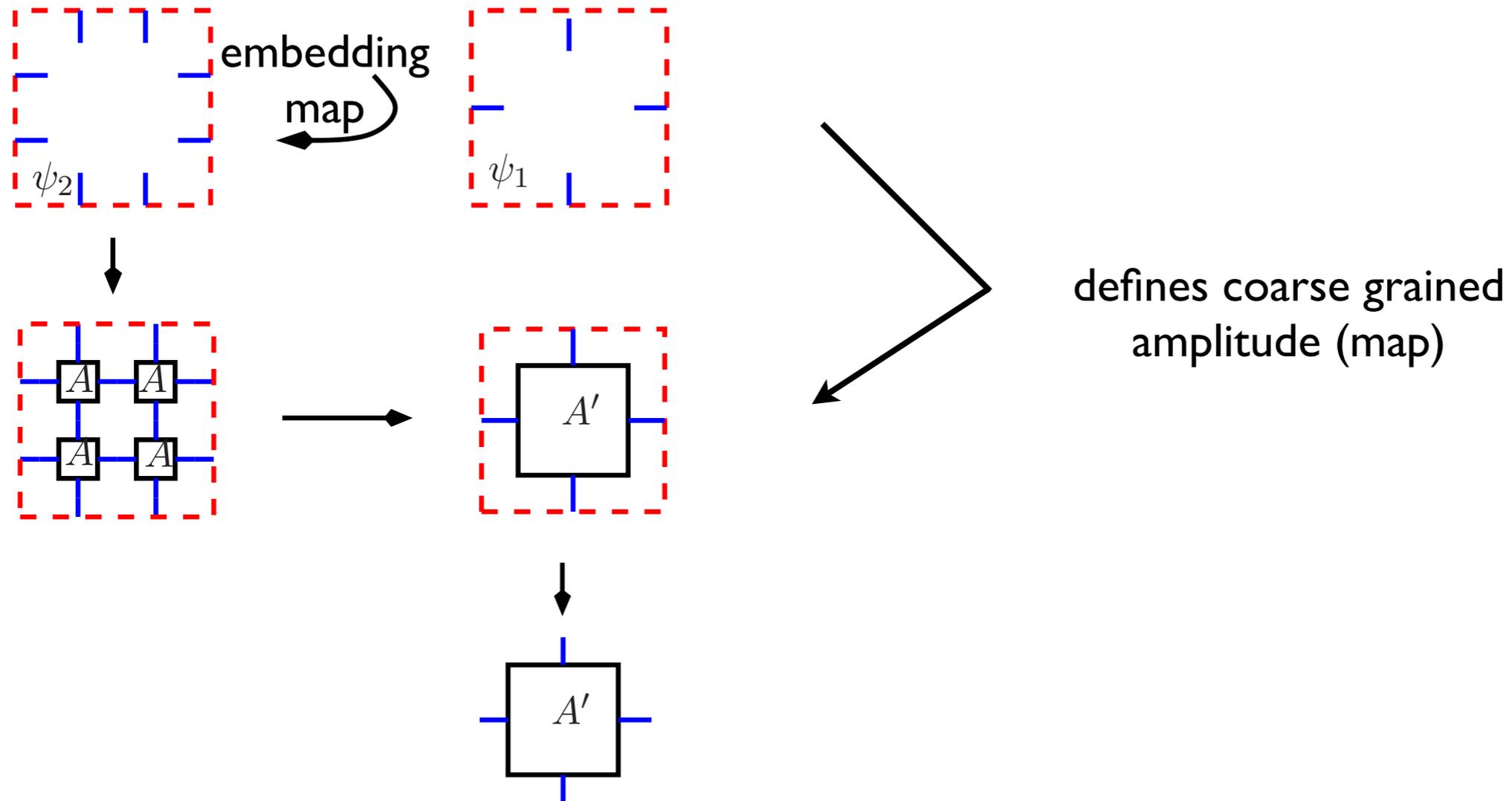
Amplitude for a 'larger' region  
glued from amplitudes of smaller regions,  
acts on 'refined' bdry Hilbert space  $\mathcal{H}_2$

We want to define an  
effective amplitude  
acting on coarser  
boundary Hilbert space  $\mathcal{H}_1$



Need to relate coarser and  
finer bdry Hilbert spaces  
by embedding maps

# Embedding boundaries



Via the embedding map we can find the effective amplitude functional  $A'$  on  $\mathcal{H}_1$ .

Take  $A'$  as new amplitude functional.  
Iterate and find fixed point.

# Embedding maps

- **embed** coarser boundary configurations into finer 'typical' states
- splitting of boundary Hilbert space into **relevant and irrelevant** degrees of freedom
- **block** finer variables into coarser ones
- **truncate** coarse graining flow

Cylindrical consistent measure:

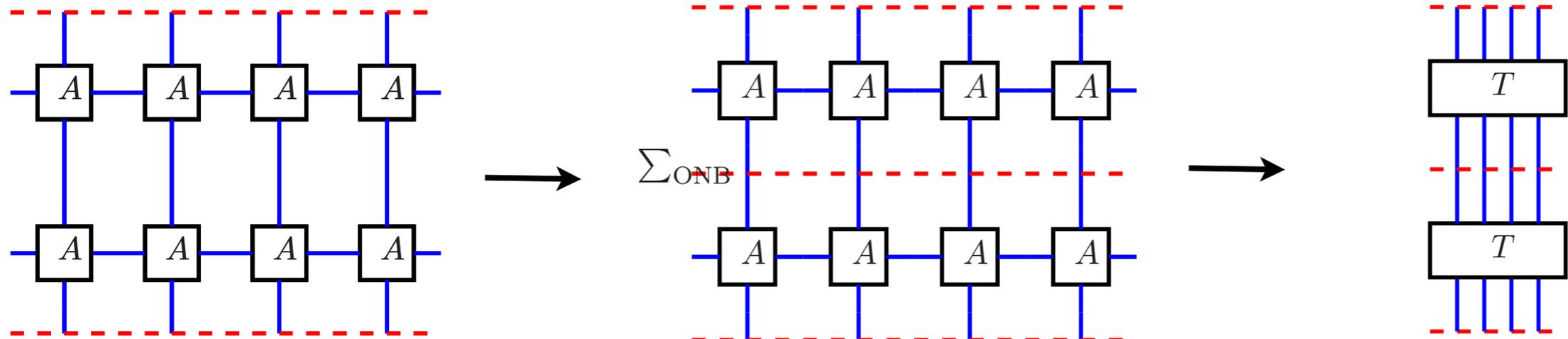
used in the kinematics of Loop Quantum Gravity to take continuum limit, defines kinematical (degenerate geometry) vacuum

Embeddings allow to define dynamical cylindrical consistent measure: defines dynamical vacuum.

[BD, NJP 2012]

How to choose the embedding maps?

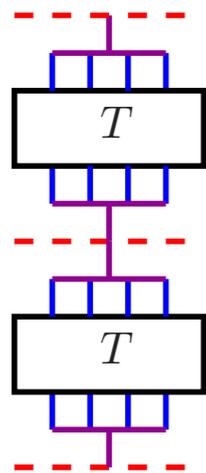
# Motivation: transfer operator technique



Transition amplitude between two states  $\langle \psi_1 | \mathcal{A} | \psi_2 \rangle$

insert id =  $\sum_{\text{ONB}} |\psi\rangle\langle\psi|$

$\mathcal{A} = T^N$

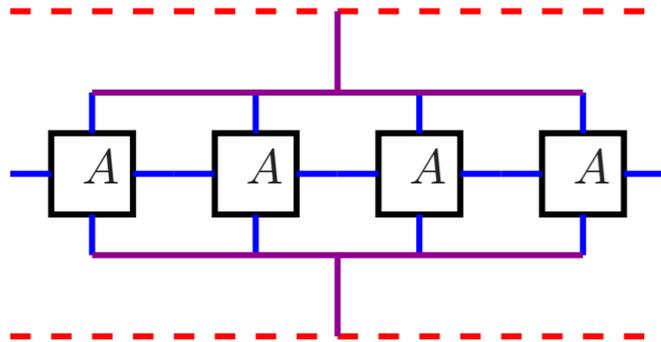


Expect good approximation if  $\psi_1, \psi_2$  are in span of these eigenvectors.

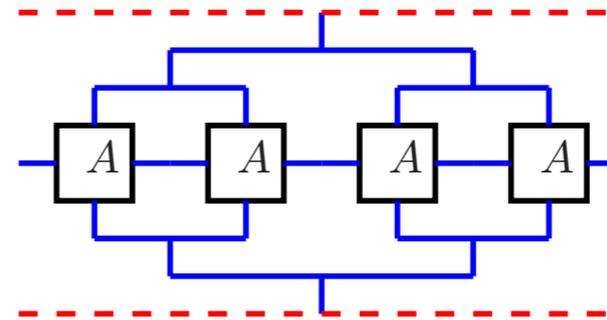
But: explicit diagonalization of  $T$  difficult.

Truncate by restricting  $\sum_{\text{ONB}}$  to the eigenvectors of  $T$  with the  $\chi$  largest (in mod) eigenvalues.

# Dynamically determined embedding maps

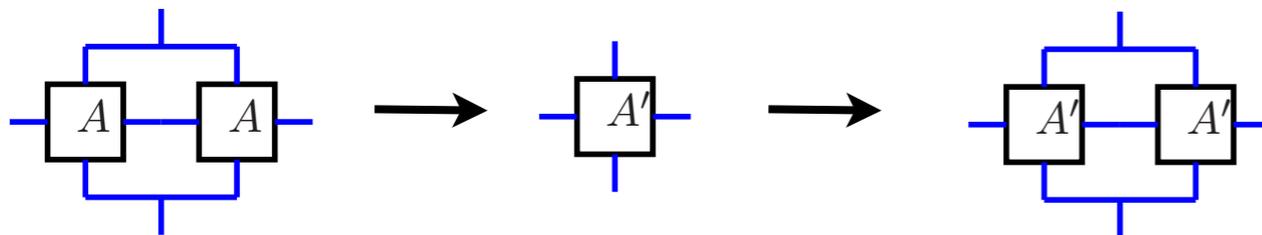


Truncate by restricting  $\sum_{\text{ONB}}$  to the eigenvectors of  $T$  with the  $\chi$  largest (in mod) eigenvalues.

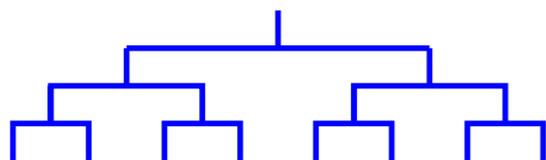


Localize truncations, diagonalize only subparts of transfer operator

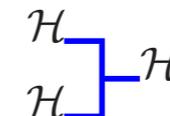
iteration procedure



embedding map after 3 iterations



blocking

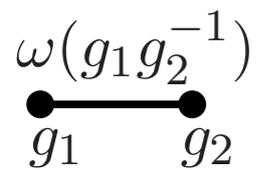


Determined by (generalized) EV-decomposition.



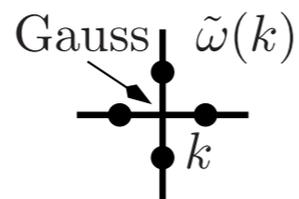
embedding

# Example: Ising model

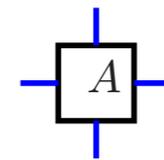


group elements  $\pm 1$   
at vertices,  
edge weights  $\omega$

→  
Fourier trafo



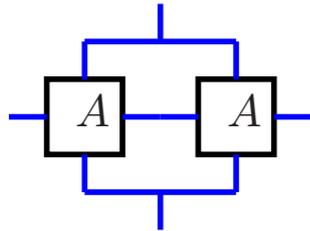
rep labels  $k = 0, 1$  at edges  
edge weights  $\tilde{\omega}(k)$   
Gauss constraints at vertices



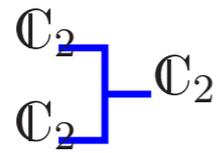
$$A(k_1, \dots, k_4) = \sqrt{\tilde{\omega}_1} \cdots \sqrt{\tilde{\omega}_4} \delta(k_1 + k_2 - k_3 - k_4)$$

# Example: Ising model

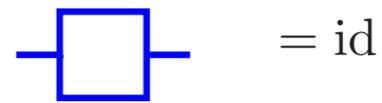
determine embedding maps



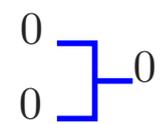
embedding maps



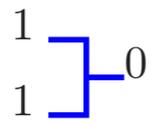
condition on embedding maps



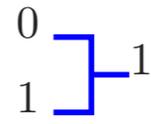
Embedding maps parametrized by:



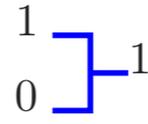
$$\cos(\alpha)$$



$$\sin(\alpha)$$



$$1/\sqrt{2}$$



$$1/\sqrt{2}$$

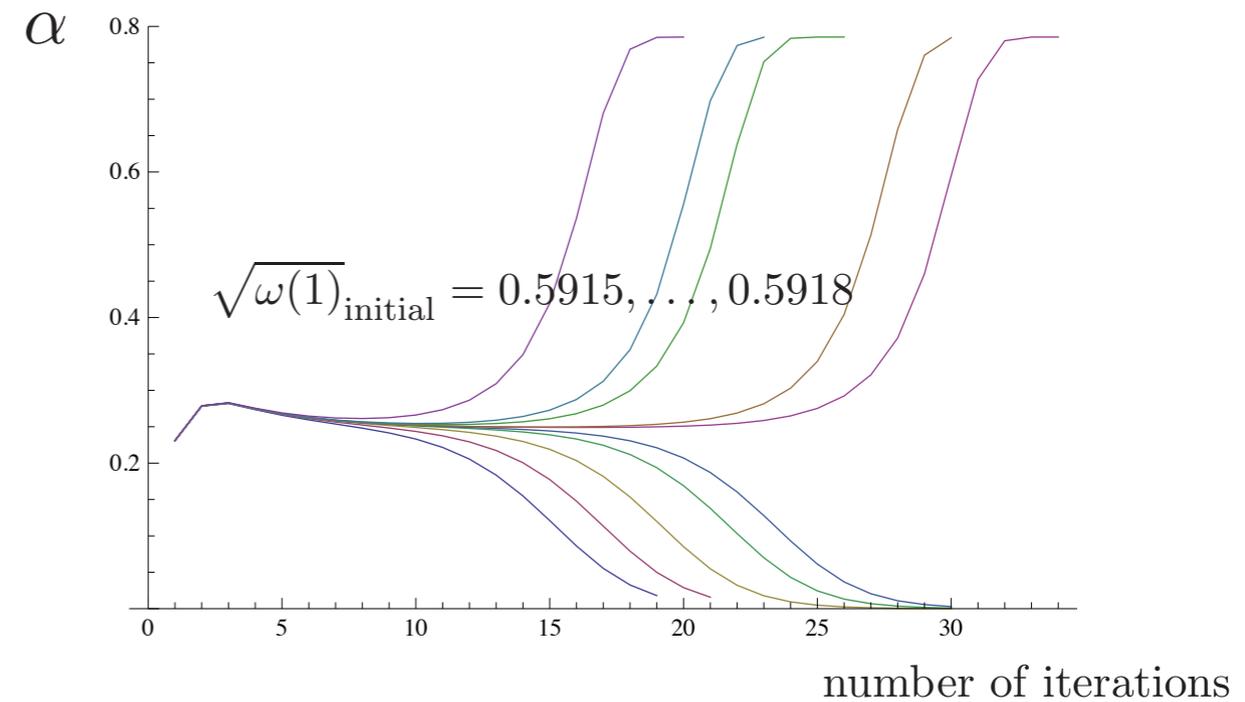
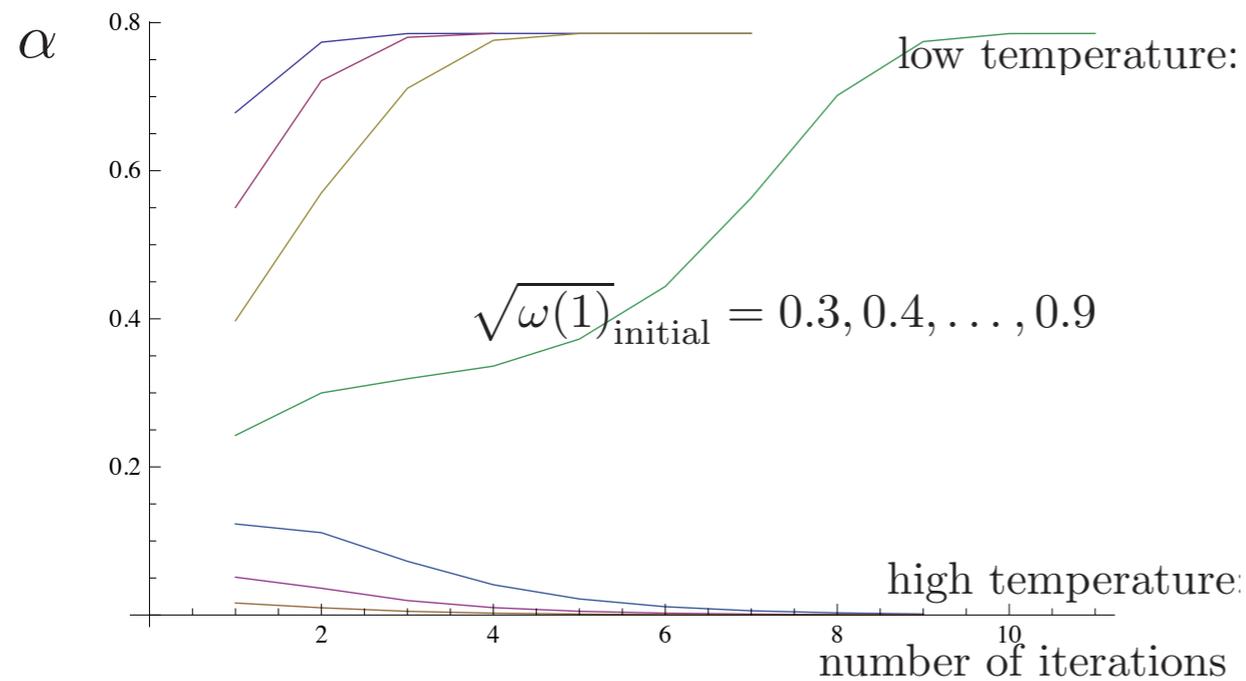
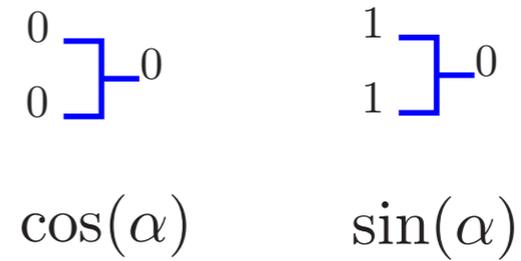
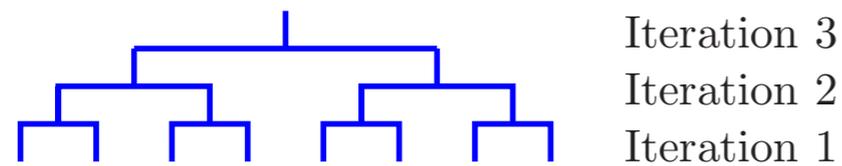
high temperature:  $\cos \alpha = 1$ ,  $\alpha = 0$   
(symmetric phase)

$$\tilde{\omega}(1) = 0 \quad \alpha = 0$$

$$\tilde{\omega}(1) = 1 \quad \alpha = \frac{\pi}{4}$$

low temperature:  $\cos \alpha = \sin \alpha = \frac{1}{\sqrt{2}}$ ,  $\alpha = \frac{\pi}{4}$   
(symmetry broken phase)

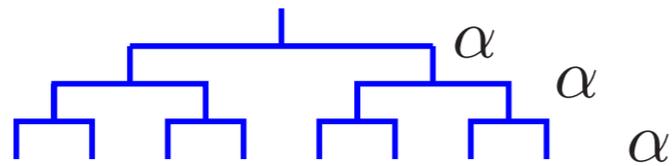
# Example: Ising model



Plateau (scale free dynamics) of almost constant embedding maps around phase transition

Embeddings determined by the dynamics of the system. Represent the physical vacuum for finer degrees of freedom.

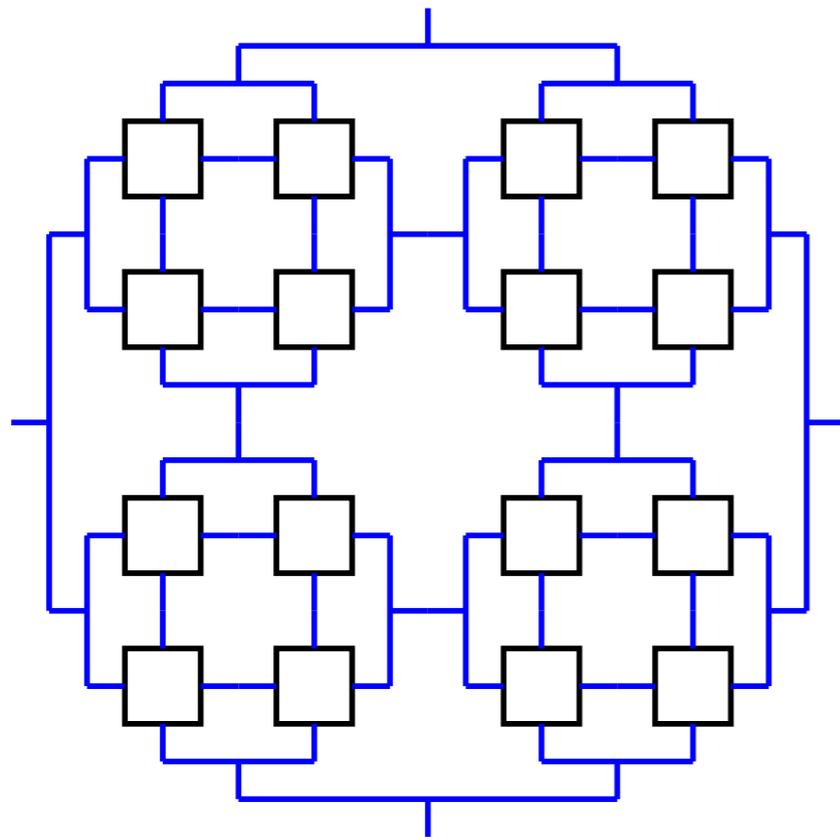
# Example: Ising model



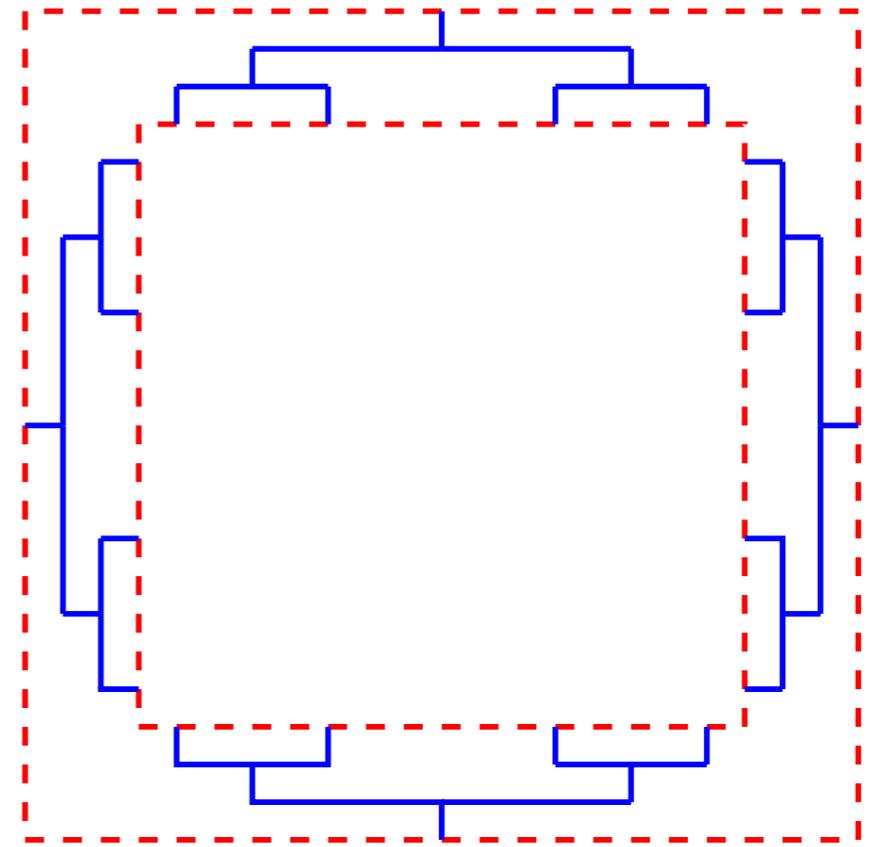
Embedding maps describe structure of vacuum (at given temperature) at finer and finer scales.

Highly excited state (from kinematical vacuum)?

# The procedure for 2D state sum

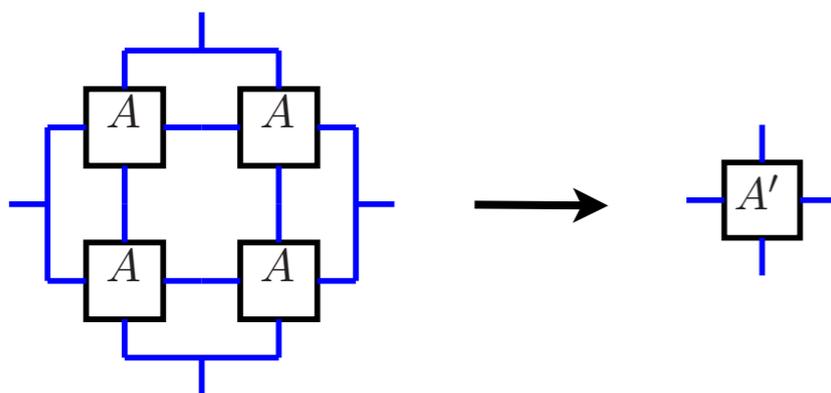


approximation



embedding maps  
needed to compare results  
for different bond dimensions

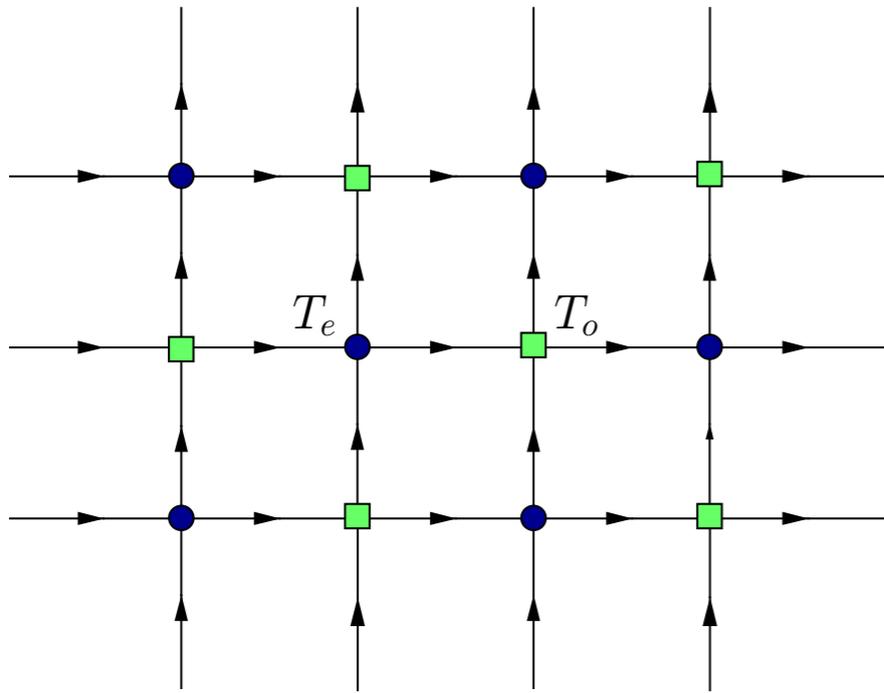
iteration step



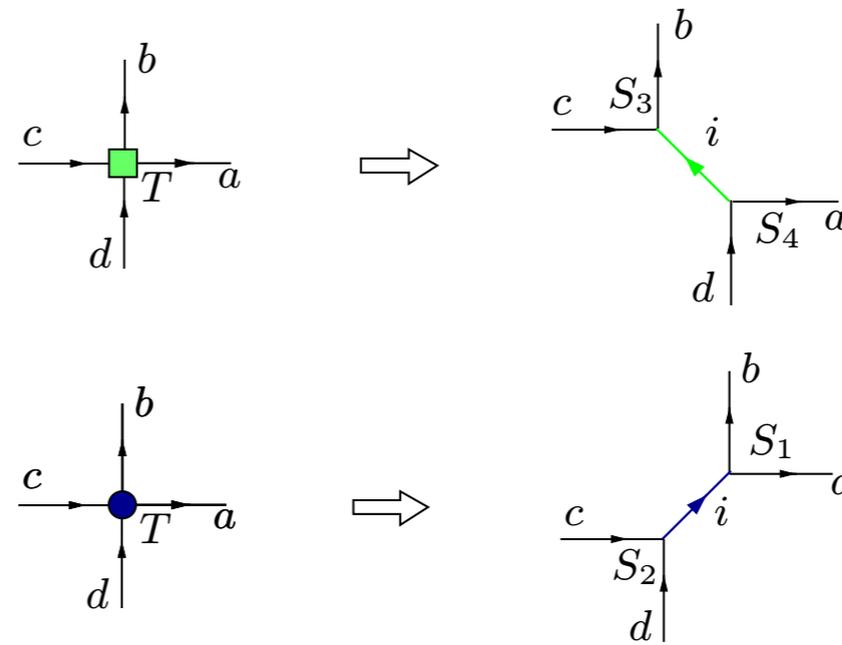
convergence defines continuum limit

# The algorithm

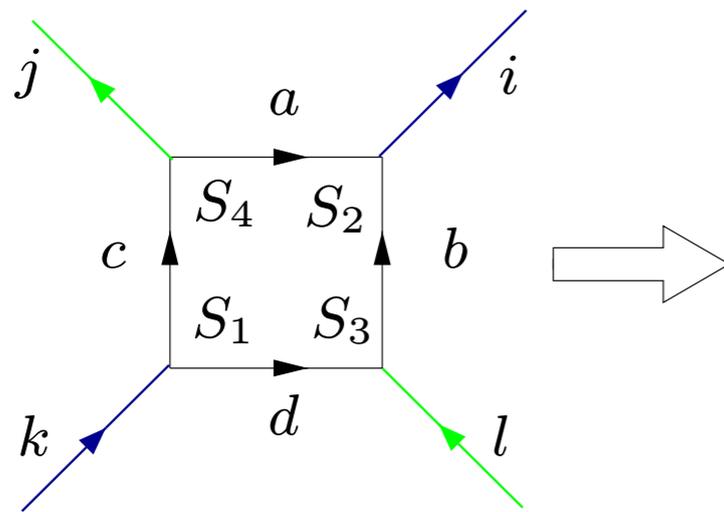
[Levin, Nave '07 , Gu, Wen '09]



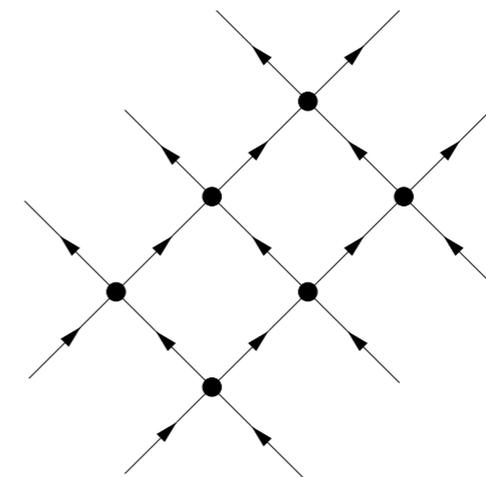
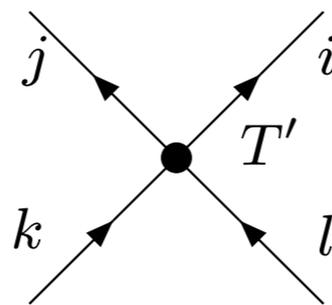
(a) square lattice



(b) splitting of vertices

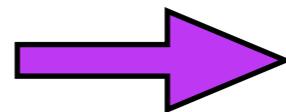
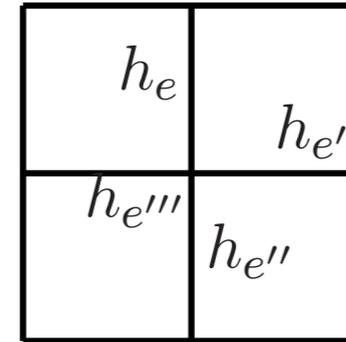
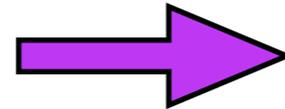
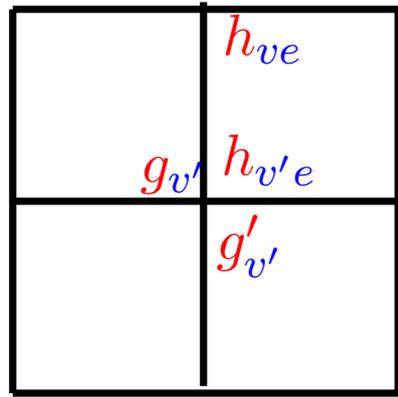


(a) contraction



(b) coarse grained lattice

# Application to spin nets



$$Z = \sum_{h_{ve}} \sum_{g_{ev}} \prod_{(ve)} E(h_{ve}) \prod_e \delta(g_v h_{ve} g'_v g_{v'} h_{v'e} g'_{v'})$$

$$Z = \sum_{h_e} \prod_v C(\{h_e\}_{e \ni v})$$

vertex model  
(= tensor network)  
in group variables

↓ Group  
Fourier  
Transform

↓ Group  
Fourier  
Transform

$$Z = \sum_{\rho_e, m_e, n_e} \prod_v \tilde{C}(\{\rho_e, m_e, n_e\}_{e \ni v})$$

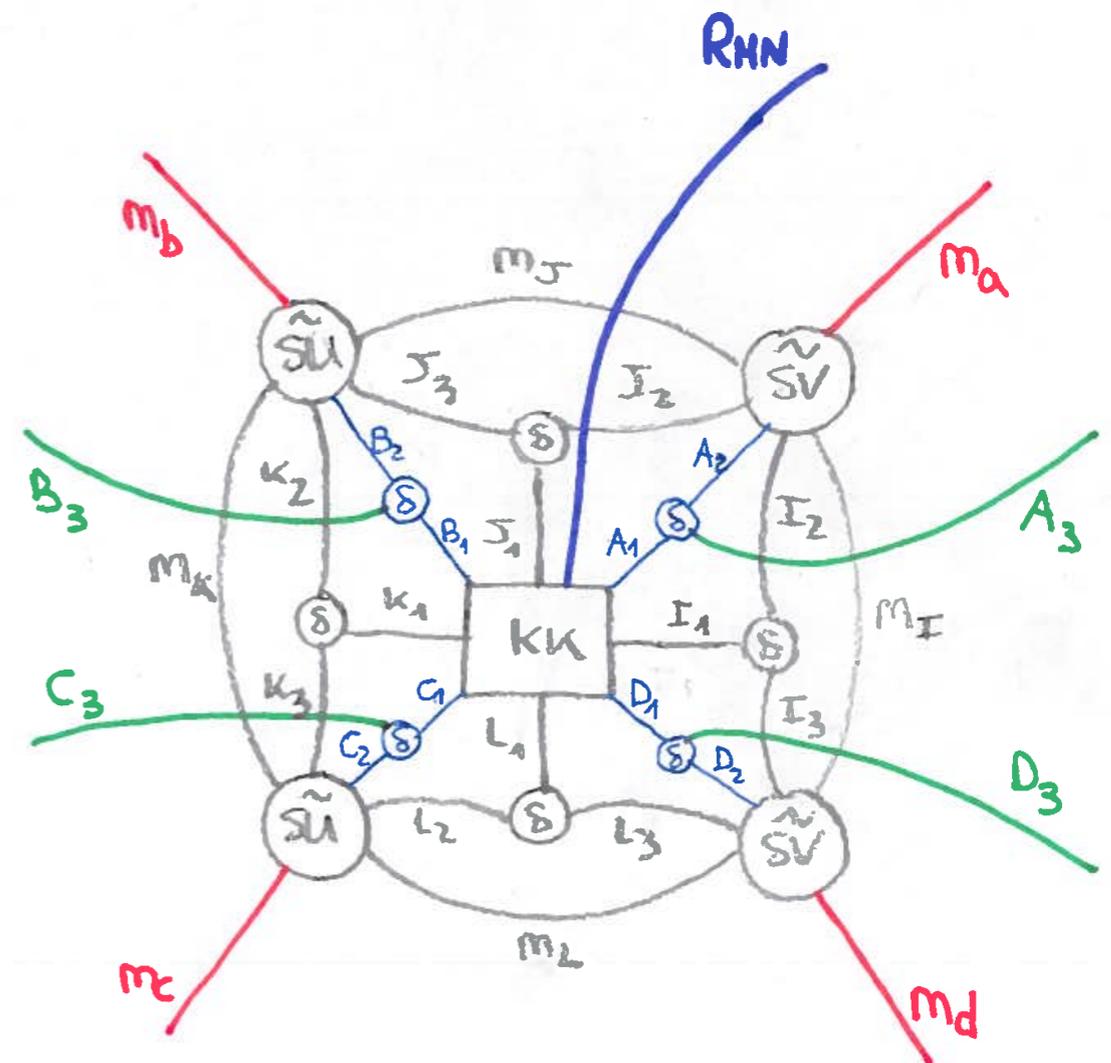
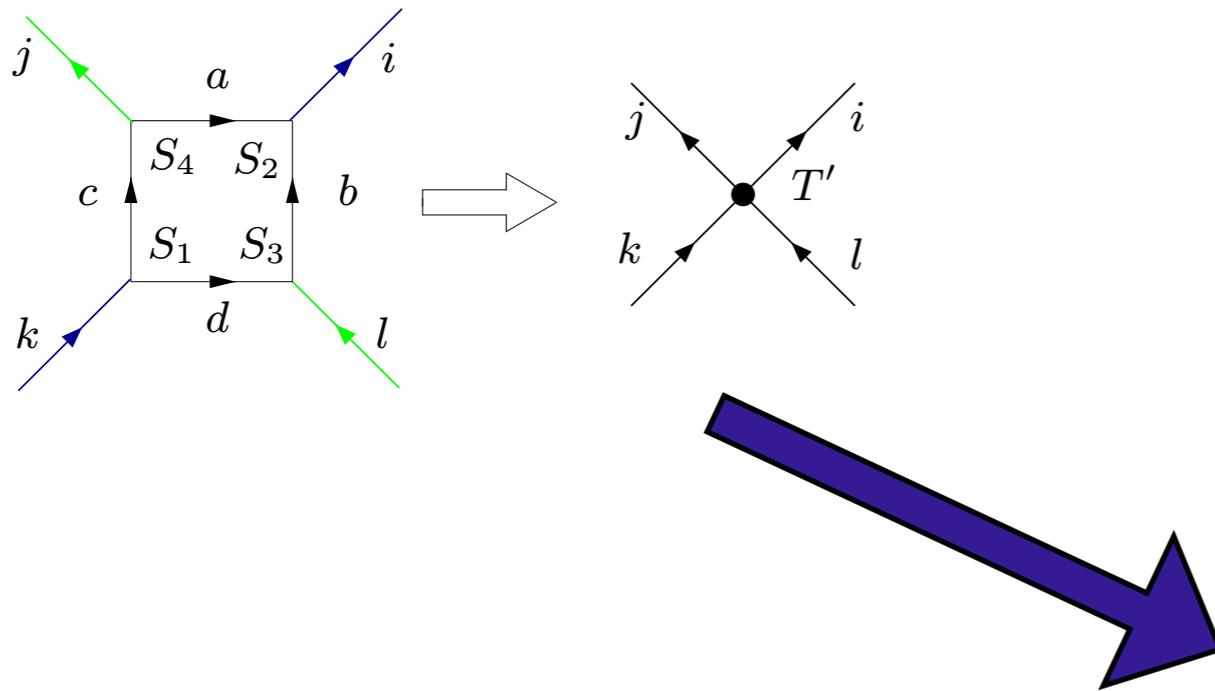
vertex model with  
representation  
labels

# The algorithm

- spin nets come with global symmetry group:

**Gauss constraint preserving algorithm** in dual (spin) representation

[BD, Eckert, Martin-Benito, New.J. Phys. '11, BD, Laurie v. Massenbach, Martin-Benito, w.i.p.]



# Space of models

[Bahr, BD, Hellmann, Kaminski '12]

$S_3$ , permutation of 3 elements, has 6 elements: unit element, three 2-cycles, two 3-cycles.

$E$ -functions invariant under  $\mathbb{Z}_2$  generated by first 2-cycle element:

$$E(g) = \delta(\text{unit}, g) + a(\delta(1. \text{ 2-cycle}, g)) + b(\delta(2. \text{ 2-cycle}, g) + \delta(3. \text{ 2-cycle}, g)) + c(\delta(1. \text{ 3-cycle}, g) + \delta(2. \text{ 3-cycle}, g))$$

$\Rightarrow$  Phase space parametrized by  $a, b, c$ .

# Space of models

If  $a = b$ , models can be rewritten into standard ‘edge models’.

Obvious fixed points:

- zero temp (BF, weak coupling):  
 $a = b = c = 0$
- BF on quotient group  $\mathbb{Z}_2 = S_3/\mathbb{Z}_3$ :  
 $a = b = 0, c = 1$
- high temp (strong coupling):  
 $a = b = c = 1$

**2D subspace  
of lattice gauge analogue  
models**

$$a \neq b$$

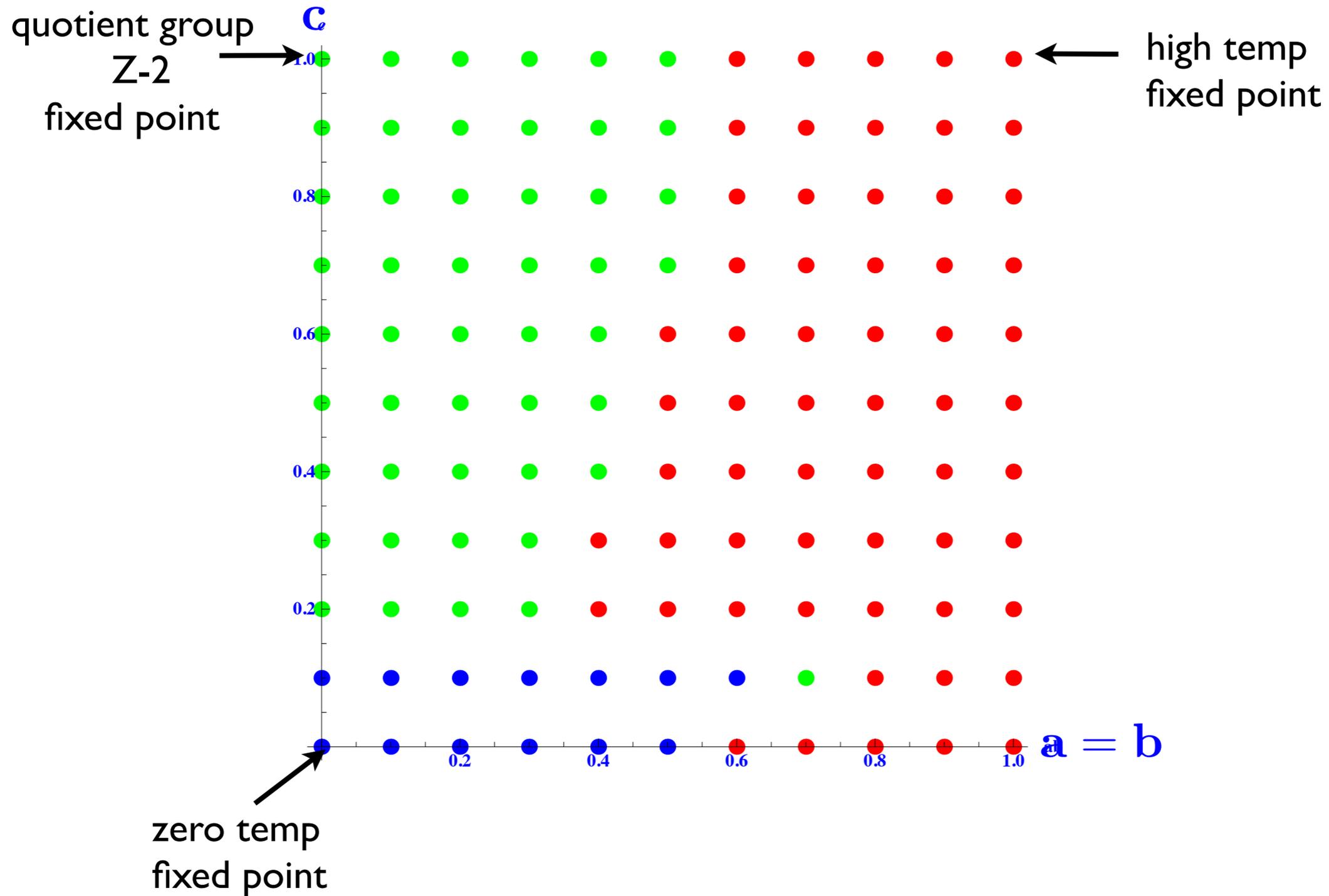
- Barrett Crane analogue model:  
 $a = 1, b = c = 0$   
(not a fixed point)

**3D space  
of spin foam analogue  
models**

# Standard edge models

[BD, Laurie v. Massenbach,  
Martin-Benito, w.i.p.]

$$a = b$$



$$a \neq b$$

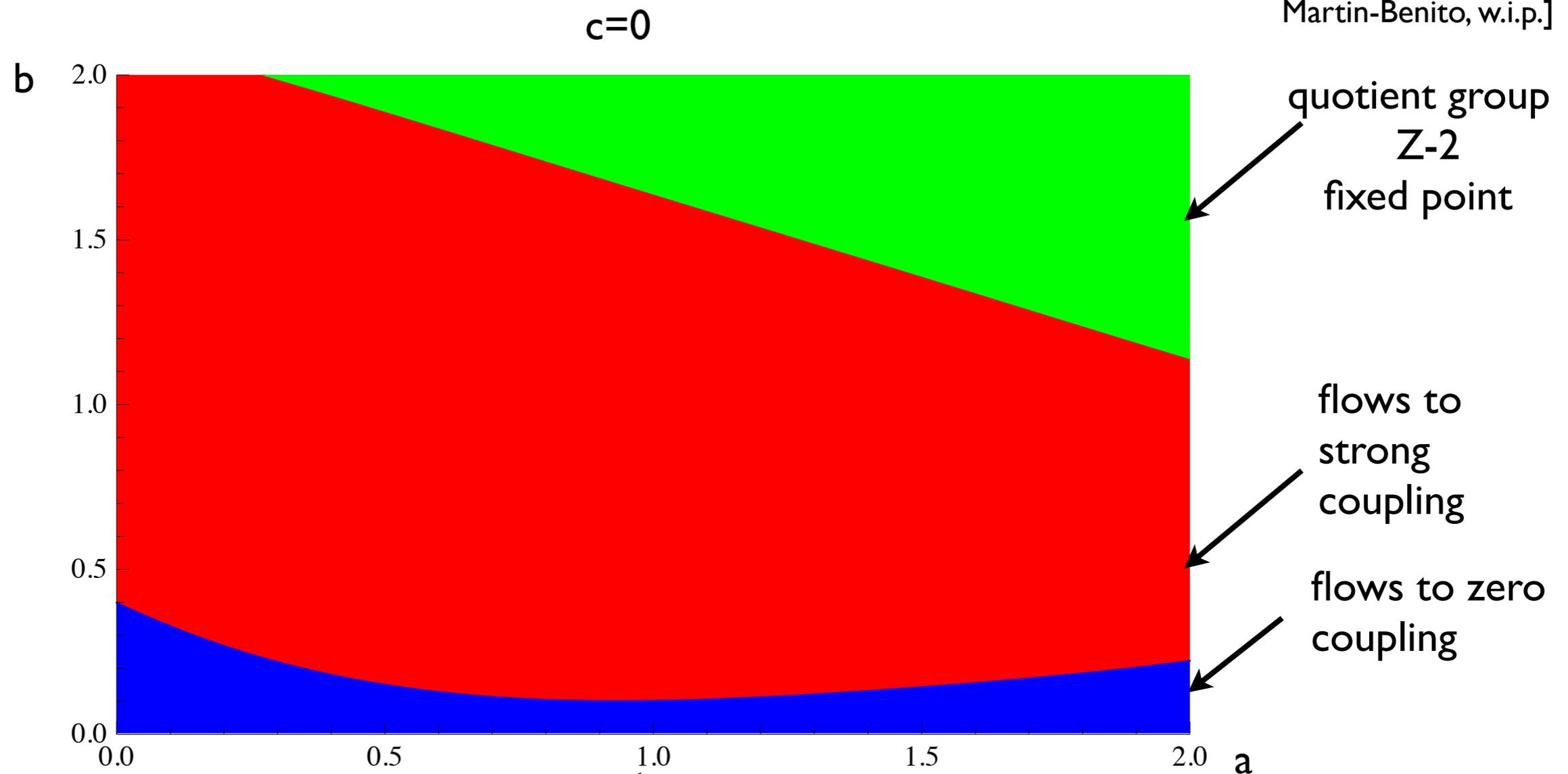
**Phase diagram for**

$$a \neq b$$

**?**

# Model with simplicity constraints

[BD, Laurie v. Massenbach,  
Martin-Benito, w.i.p.]



analogue  
Barrett-Crane  
model

Near the analogue Barrett-Crane model  
( $a=1, b=c=0$ ) phase transition between  
zero and high temperature.

Will it persist for Lie  
groups?

# Summary

- Presented a strategy to take continuum limit for spin foams: key test for the models
- We are able to test the key dynamical input of spin foams - the implementation of simplicity constraints - in simplified models.
- Lattice gauge theories experience: results might hold in full models.
- Is there a BF phase for  $SU(2)$  spin foams as generalized lattice models?  
(confinement conjecture: this is not the case for standard lattice gauge theory models)

# Outlook

[w.i.p.]

- methods allow to get insight into dynamics of spin foams models: develop **semi-analytical tools** in order to go to full models

- **analysis of embedding maps** will give us information on **structure of dynamical vacuum**

➔ Is the (dynamically determined) blocking geometrically meaningful?

➔ Are the simplicity constraints relaxed under coarse graining?

- recently derived structure of transfer operator for spin foams will allow further insight

[BD, Hellmann, Kaminski | 209.4539]

[further in the future:]

- models with quantum groups: have gravity interpretation!
- higher dimensions, spin foams, ....

Stay tuned!

**Thanks!**